


# Incremental Relaying with Partial Relay Selection for Enhancing the Performance of Underlay Cognitive NOMA Networks

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**Abstract**—In this paper, we consider an underlay cognitive non-orthogonal multiple access (CNOMA) network, where incremental relaying with partial relay selection (PRS) scheme (i.e., IRP scheme) is proposed for the secondary network. We derive analytical expressions for the outage probabilities of the secondary users (SUs) and the system throughput of the IRP-CNOMA network. The proposed approach is compared to conventional cooperative relaying-based CNOMA (CR-CNOMA) networks with PRS scheme (i.e., CRP-CNOMA) and random relay selection (RRS) scheme. The results show that the proposed scheme significantly lowers the outage probability of the SUs while improving the system throughput.

Link to graphical and video abstracts, and to code: <https://latamt.ieeet9.org/index.php/transactions/article/view/8795>

**Index Terms**—cognitive NOMA, outage probability, system outage probability, system throughput, partial relay selection, incremental relaying.

## I. INTRODUCTION

Non-orthogonal multiple access (NOMA) has been identified as an important multiple access strategy in fifth generation (5G) and beyond fifth generation (B5G) wireless networks, for increasing the spectral efficiency (SE) by enabling several users to transmit in the same resource block concurrently [1], [2]. The cooperative relay-based NOMA (i.e., CR-NOMA) has been envisioned to further improve the performance of the far users (i.e., weak or cell edge users) in the NOMA network, where either near users (i.e., strong or cell centric users) or dedicated nodes are used as relays to forward the symbols to the far users [3]–[5]. In the meantime, cognitive radio has been proved as a potential strategy for increasing SE by allowing secondary users (SUs) to access spectrum designated for the primary users (PUs) [6], [7]. This allows multiple wireless communication systems to access the same frequency band at the same time. Cognitive radio employs three spectrum access paradigms: interweave, underlay, and overlay [8], [9]. When interweave mode is adopted, the SUs will detect the spectrum holes by sensing, and then exploit the unoccupied primary frequency bands for their own transmissions. On the other hand, the overlay mode allows the SUs and the PUs to transmit concurrently over the

same frequency spectrum. Here, the SUs will act as a relay to forward PUs message so as to enhance the performance of the PUs. In the underlay mode, the SUs are allowed to transmit simultaneously with the PUs in the same frequency band as long as the interference induced on the PUs receiver by the SUs remains below a tolerable interference limit. By incorporating NOMA into cognitive radio systems, system throughput and outage performance can be significantly improved [10], [11]. However, underlay cognitive NOMA (CNOMA) systems are examined in [12], [13]. In these systems, SUs and PUs share the licensed primary spectrum for concurrent transmissions as long as the interference caused by the SUs on the PU receiver remains below the acceptable threshold level. Due to this, the quality of service (QoS) of the SUs cannot be ensured. To improve the coverage, reliability and the QoS performance of the SUs in CNOMA system, cooperative relay based cognitive NOMA (CR-CNOMA) network is proposed. It can provide enhanced SE and throughput [14] compared to the conventional cooperative relay based cognitive OMA networks. In single-cell downlink underlay cognitive NOMA network, if the strong NOMA SU is configured as half-duplex (HD) relay to transmit the message to the far user, then the secondary transmitter (ST) requires two separate time slots for information transmission to SUs [15]. During the first time slot, the ST will broadcast the superimposed signal to the SUs. During the second time slot, the near user will forward the decoded information from the successive interference cancellation (SIC) technique to the far user. This results in the degradation of SE and throughput. The authors in [16], [17] proposed incremental relaying (IR) method in CNOMA network to raise the SE of cooperative NOMA systems. In IR based CNOMA (i.e., IR-CNOMA) network, the near SU will act as a relay for the far SU if and only if the direct path from the ST to far user becomes unreliable. In this transmission, if the direct link to the far SU is successful then the communication from the ST to both the near and far SUs can be accomplished within a single transmission cycle. This ensures higher SE and throughput for the secondary network [18], [19]. However, compared to a fixed relaying strategy, the research work in [20], [21] demonstrated that using multiple relays in NOMA with an appropriate relay selection scheme can result in significant performance gains. Due to inter-network and intra-network interferences present in underlay CR-NOMA systems, the throughput of the secondary network is reduced when a single fixed relay is leveraged to

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TABLE I  
COMPARISON OF RELATED WORKS

Related Work	Year	Technique	Relay Selection (RS) Method	Mode	Metrics	No. of Relay Nodes	Observations
[25]	2019	Cooperative Underlay CNOMA	Fixed	DF-HD	Outage probability	1	Closed form expressions of the outage probability for SUs is derived. Also, the asymptotic expressions are derived.
[26]	2020	Cooperative Overlay CNOMA	Vickrey auction RS	Hybrid-HD	Sum rate	1	Closed form expressions of sum capacity is derived. Also, simulation results shows that proposed method is more effective than conventional approaches in RS method.
[27]	2021	Underlay CNOMA	PRS	DF-HD	Outage probability & Throughput	2, 3, 4	Closed form expressions of outage probability, asymptotic outage probability and throughput for the SUs is derived. Also compared with RRS.
[28]	2022	Cooperative CNOMA, SWIPT	Two way RS	AF-HD	Sum rate & Energy efficiency	5, 10	In order to reduce system complexity zero-forcing algorithm is proposed. Closed form for the sum rate is derived.
[29]	2024	Interweave CNOMA	Best RS	HD	Outage probability	1, 2, 3	Closed form expressions of outage probability, and asymptotic outage probability for the SUs is derived.
Proposed work	-	Incremental relaying Underlay CNOMA	PRS	DF-HD	Outage probability & Throughput	2, 3, 4 & 5	Closed form expressions of outage probability, system outage probability and throughput for the SUs is derived. Also compared with RRS.

assist the ST-SUs communication. To address such a challenge, multirelay assisted CR-NOMA networks can be proposed in [22], that can provide remarkable performance gains relative to the fixed relaying scheme. Based on that partial relay selection (PRS) scheme in [23], the relay is selected from a group of decode-and-forward (DF) and HD relays. Also authors [24] have analyzed the outage probability and throughput of underlay CNOMA systems using fixed gain for PRS system. Also, Table 1 clearly present the differences and similarities between our proposed work and existing literature, focusing on aspects such as cognitive schemes, relay selection techniques, communication modes, performance metrics, and the number of relay nodes. Differently from the existing papers, we propose incremental relaying with PRS scheme for further improving the performance of underlay CNOMA networks. We obtain closed form analytical equations for the outage probabilities of SUs, system outage probability, and system throughput for the proposed IRP-CNOMA network. The major contributions of this research work are summarized below: (i) we propose a simple yet efficient IR strategy with PRS scheme for enhancing the performance of underlay CNOMA networks; (ii) we investigate the outage probability performance of the SUs and the system throughput through analysis and simulations, considering imperfect SIC (i-SIC) conditions; (iii) we compare the performance of the proposed IRP-CNOMA system against CNOMA system that use conventional cooperative relaying with PRS scheme (i.e., CRP-CNOMA) and random relay selection (RRS) scheme and (iv) we use extensive Monte-Carlo simulations to validate the analytical results.

## II. SYSTEM MODEL

The considered underlay CNOMA network is shown in Fig. 1, where a primary transmitter (PT) and primary re-

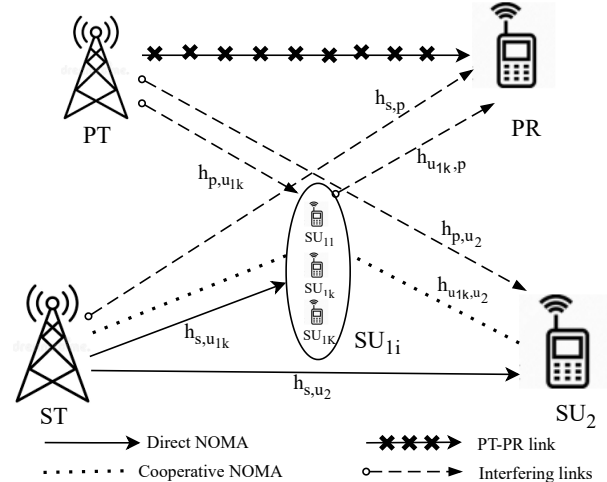


Fig. 1. IRP based underlay cognitive NOMA network with  $K$ -near users.

ceiver (PR) pair forms the primary network. The secondary network consists of one ST,  $K$  cell-center (i.e., near) SUs ( $SU_{1i}; i = 1, 2, \dots, K$ ) and a cell-edge (i.e., far) SU, i.e.,  $SU_2$ . The channel coefficients  $\{h_{ij}\}; i \in (s, u_{1i}, p); j \in (u_{1i}, u_2, p)$  and assumed to follow Rayleigh fading, so that  $|h_{ij}|^2$  have exponential probability density function (PDF) with mean values  $\lambda_{ij}$ . All receiver terminals are assumed to suffer additive white Gaussian noise (AWGN) with variance  $\sigma^2$ . When the direct link from ST-  $SU_2$  is unavailable due to heavy shadowing, one of the near SUs, i.e.,  $SU_{1k}$ , that has highest channel gain over the ST-  $SU_{1i}$  ( $i = 1, 2, \dots, K$ ) links is selected for assisting communication from ST to  $SU_2$ , i.e.,  $SU_{1k} = \arg \max_{i=1, \dots, K} |h_{s, u_{1i}}|^2$  [30], which is shown

in Algorithm 1. Assuming that the near SUs are positioned relatively closer so that the mean channel gains over the ST- $SU_{1i}$  links are equal (i.e.,  $\lambda_{s,u_{1i}} = \lambda_o \forall i = 1, 2, \dots, K$ ) [31], the PDF of the power gain of the selected best channel from ST- $SU_{1i}$  is given by [30]:

$$f_{|h_{s,u_{1k}}|^2}(x) = \sum_{i=1}^K (-1)^{i-1} \binom{K}{i} \frac{i}{\lambda_o} e^{-\frac{ix}{\lambda_o}}, \quad (1)$$

where  $\binom{K}{i} = \frac{K!}{i!(K-i)!}$ . The various steps involved in the relay selection is clearly described in the Algorithm 1. Let  $P_{s,max}$  and  $P_{u_{1k},max}$  be the maximum transmit power of ST and  $SU_{1k}$ . Due to the underlay mode,  $P_s |h_{s,p}|^2 < \theta_I$  and  $P_{u_{1k}} |h_{u_{1k},p}|^2 < \theta_I$ , where  $\theta_I$  is the tolerable peak interference threshold of PR;  $P_s$  and  $P_{u_{1k}}$  are respectively the instantaneous transmit power of ST and  $SU_{1k}$ , where are represented as [32]:

$$P_s = \min \left( P_{s,max}, \frac{\theta_I}{|h_{s,p}|^2} \right) \quad (2a)$$

$$P_{u_{1k}} = \min \left( P_{u_{1k},max}, \frac{\theta_I}{|h_{u_{1k},p}|^2} \right) \quad (2b)$$

In the proposed IRP-CNOMA system, ST chooses either direct NOMA (DN) mode or cooperative NOMA (CN) mode to deliver messages to  $SU_{1k}$  and  $SU_2$ . Let  $T$  be the duration of one transmission cycle. Initially, after assessing the quality of the direct ST- $SU_2$  link through pilot signal transmissions, ST decides as to whether DN or CN mode has to be chosen for message transmissions. If the signal-to-noise ratio (SNR) over the ST- $SU_2$  link is above a predefined threshold, ST decides to opt for DN mode and transmits the NOMA signal  $m(t)$ :

$$m(t) = \sqrt{\alpha_1 P_s} m_{1k}(t) + \sqrt{\alpha_2 P_s} m_2(t) \quad (3)$$

where  $m_{1k}$  and  $m_2$  are the symbols for  $SU_{1k}$  and  $SU_2$  respectively;  $\alpha_1$  and  $\alpha_2$  are the power allocation coefficient (PACs) for  $SU_{1k}$  and  $SU_2$  respectively with  $\alpha_1 + \alpha_2 = 1$ ;  $\alpha_1 < \alpha_2$ . The received signal at  $SU_{1k}$  and  $SU_2$  are given by:

$$y_{u_{1k}}^{DN}(t) = h_{s,u_{1k}} m(t) + h_{p,u_{1k}} \sqrt{P_p} x_p(t) + n_{1k}(t), \quad (4a)$$

$$y_{u_2}^{DN}(t) = h_{s,u_2} m(t) + h_{p,u_2} \sqrt{P_p} x_p(t) + n_2(t), \quad (4b)$$

where  $n_{1k}(t)$  and  $n_2(t)$  are the AWGN at  $SU_{1k}$  and  $SU_2$  respectively;  $x_p(t)$  is the signal from PT with power  $P_p$ . Now,  $SU_{1k}$  will firstly decode  $m_2$  followed by  $m_{1k}$  using SIC technique. Then, the corresponding signal to interference plus noise ratio (SINR) equations are given by:

$$\Gamma_{1k,m_2}^{DN} = \frac{|h_{s,u_{1k}}|^2 \alpha_2 P_s}{|h_{s,u_{1k}}|^2 \alpha_1 P_s + |h_{p,u_{1k}}|^2 P_p + \sigma^2}, \quad (5a)$$

$$\Gamma_{1k,m_{1k}}^{DN} = \frac{|h_{s,u_{1k}}|^2 \alpha_1 P_s}{|h_{s,u_{1k}}|^2 \alpha_2 P_s \zeta + |h_{p,u_{1k}}|^2 P_p + \sigma^2}, \quad (5b)$$

where  $\zeta$  ( $0 < \zeta < 1$ ) is the i-SIC coefficient, that introduces residual interference at  $SU_{1k}$ . The SINR corresponding to the decoding of  $m_2$  at  $SU_2$  is given by:

$$\Gamma_{2,m_2}^{DN} = \frac{|h_{s,u_2}|^2 \alpha_2 P_s}{|h_{s,u_2}|^2 \alpha_1 P_s + |h_{p,u_2}|^2 P_p + \sigma^2}. \quad (6)$$

Notice that ST will employ CN mode, if the SNR over ST- $SU_2$  link is found to be lower than the threshold during the pilot signal transmissions. In this case, communication happens in two half cycles. The first half cycle is used for transmission from ST as is the case with DN mode. Therefore, the received signal at  $SU_{1k}$  in CN mode,  $y_{u_{1k}}^{CN}(t) = y_{u_{1k}}^{DN}(t)$ . The SINR at  $SU_{1k}$  for decoding  $m_2$  and  $m_{1k}$  in CN and DN modes are also equal, i.e.,  $\Gamma_{1k,m_2}^{CN} = \Gamma_{1k,m_2}^{DN}$  and  $\Gamma_{1k,m_{1k}}^{CN} = \Gamma_{1k,m_{1k}}^{DN}$ . During the second half cycle,  $SU_{1k}$  acts as a DF relay to forward  $m_2$  to  $SU_2$  and the signal received at  $SU_2$  is given by:

$$y_{u_2}^{CN}(t) = h_{u_{1k},u_2} \sqrt{P_{u_{1k}}} m_2(t) + h_{p,u_2} \sqrt{P_p} x_p(t) + n_2(t), \quad (7)$$

Now,  $SU_2$  decodes  $m_2$  and the corresponding SINR is given by:

$$\Gamma_{2,m_2}^{CN} = \frac{|h_{u_{1k},u_2}|^2 P_{u_{1k}}}{|h_{p,u_2}|^2 P_p + \sigma^2}. \quad (8)$$

Notice that, the conventional cooperative relay based CNOMA system only employs CN mode, i.e., message from ST gets delivered to  $SU_2$  via selected relay  $SU_{1k}$ .

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#### Algorithm 1 Incremental Relaying based Partial Relay Selection Algorithm

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- 1: **Initialize:** Threshold SINR ( $\beta_{th,1}, \beta_{th,2}$ ), Predefined Threshold ( $TH$ ), ST- $SU_2$  link SNR (linkSNR), Number of near user relays ( $K$ ), channel coefficients ( $h_{ij}$ )
  - 2: *ModeSelectionFlag*  $\leftarrow$  None
  - 3:  $SU_{1K} \leftarrow$  None
  - 4: *bestChannelGain*  $\leftarrow$  0
  - 5: **for**  $i = 1$  to  $K$  **do**
  - 6:   **if**  $|h_{s,SU_{1i}}|^2 \geq \beta_{th,1}$  and  $|h_{s,SU_{1i}}|^2 \geq \beta_{th,2}$  **then**
  - 7:     **if**  $|h_{s,SU_{1k}}|^2 > \textit{bestChannelGain}$  **then**
  - 8:       *bestChannelGain*  $\leftarrow |h_{s,SU_{1k}}|^2$
  - 9:        $SU_{1k} = \arg \max_{k=1, \dots, K} |h_{s,SU_{1i}}|^2$
  - 10:    **end if**
  - 11: **end if**
  - 12: **end for**
  - 13: **return**  $SU_{1k}$  and  $|h_{s,SU_{1k}}|^2$
  - 14: **if** *linkSNR*  $> TH$  **then**
  - 15:   *ModeSelectionFlag*  $\leftarrow$  DN mode
  - 16: **else**
  - 17:   *ModeSelectionFlag*  $\leftarrow$  CN mode
  - 18: **end if**
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### III. OUTAGE AND THROUGHPUT PERFORMANCE ANALYSIS

This section explains analytical equations for the outage probabilities and throughput experienced by  $SU_{1k}$  and  $SU_2$  in both IRP-CNOMA and CR-CNOMA networks. Let  $r_1$  and  $r_2$  be the target rates for the  $m_{1k}$  and  $m_2$ . In the DN mode, let  $\beta_{th,1} = 2^{r_1} - 1$  and  $\beta_{th,2} = 2^{r_2} - 1$  respectively be the threshold SINR for decoding  $m_{1k}$  and  $m_2$ . Further the achievable rate is halved and two half cycles are needed to send message symbols to  $SU_{1k}$  and  $SU_2$  in CN mode, hence  $\beta'_{th,1} = 2^{2r_1} - 1$  and  $\beta'_{th,2} = 2^{2r_2} - 1$  respectively are the threshold SINR for the CN mode.

### A. Outage Probability ( $P_o$ ) of Near User $SU_{1k}$ in IRP-CNOMA Network (IRPCN)

Notice that  $SU_{1k}$  will experience outage, if it fails to decode  $m_{1k}$  in DN or CN mode. Thus,  $P_o$  experienced by  $SU_{1k}$  in IRPCN is calculated as:

$$P_{o,1k}^{IRPCN} = 1 - \Pr(\Gamma_{2,m_2}^{DN} \geq \beta_{th,2}, \Gamma_{1k,m_2}^{DN} \geq \beta_{th,2}, \Gamma_{1k,m_{1k}}^{DN} \geq \beta_{th,1}) - \Pr(\Gamma_{2,m_2}^{DN} < \beta_{th,2}, \Gamma_{1k,m_2}^{CN} \geq \beta'_{th,2}, \Gamma_{1k,m_{1k}}^{CN} \geq \beta'_{th,1}) \quad (9)$$

*Proposition 1:* Analytical expression for  $P_{o,1k}^{IRPCN}$  is given by:

$$P_{o,1k}^{IRPCN} = \begin{cases} 1 - (B_0 B_1) - ((1 - B_0) B_3); & 0 < \alpha_1 < \frac{1}{1 + \beta'_{th,2}}, \frac{\zeta \beta'_{th,1}}{1 + \zeta \beta'_{th,1}} < \alpha_1 < 1 \\ (1 - B_0) B_1; & \frac{1}{1 + \beta'_{th,2}} < \alpha_1 < \frac{1}{1 + \beta_{th,2}}, \\ \frac{\zeta \beta_{th,1}}{1 + \zeta \beta_{th,1}} < \alpha_1 < \frac{\zeta \beta'_{th,1}}{1 + \zeta \beta'_{th,1}} & \\ 1 & ; \text{otherwise} \end{cases} \quad (10)$$

Note that, here  $B_2 = 1 - B_0$  and  $B_0, B_1, B_3$  are given by (14), (15) and (16) respectively, given on next page. where  $w_1 = i\phi_1 P_p \lambda_{p,u_{1k}}$  and  $w_2 = i\phi_2 P_p \lambda_{p,u_{1k}}$ . *Proof:* Assuming that the fading over ST- $SU_{1k}$  and ST- $SU_2$  links are independent, (9) can be written as follows:

$$P_{o,1k}^{IRPCN} = 1 - \underbrace{\Pr(\Gamma_{2,m_2}^{DN} \geq \beta_{th,2})}_{B_0} \underbrace{\Pr(\Gamma_{1k,m_2}^{DN} \geq \beta_{th,2}, \Gamma_{1k,m_{1k}}^{DN} \geq \beta_{th,1})}_{B_1} - \underbrace{\Pr(\Gamma_{2,m_2}^{DN} < \beta_{th,2})}_{B_2} \underbrace{\Pr(\Gamma_{1k,m_2}^{CN} \geq \beta'_{th,2}, \Gamma_{1k,m_{1k}}^{CN} \geq \beta'_{th,1})}_{B_3} \quad (11)$$

Utilizing (6),  $B_0$  becomes:

$$B_0 = \Pr\left(|h_{s,u_2}|^2 P_s \geq \phi_0 (|h_{p,u_2}|^2 P_p + \sigma^2)\right) \quad (12a)$$

$$= \Pr\left(|h_{s,u_2}|^2 \geq \frac{\phi_0}{P_{s,max}} (Y P_p + \sigma^2); Z < \frac{\theta_I}{P_{s,max}}\right)_{B_{00}} + \Pr\left(|h_{s,u_2}|^2 \geq \frac{\phi_0 Z}{\theta_I} (Y P_p + \sigma^2); Z > \frac{\theta_I}{P_{s,max}}\right)_{B_{01}} \quad (12b)$$

Here (12b) follows by substituting for  $P_s$  given by (2a) in (12a), where  $\phi_0 = \frac{\beta_{th,2}}{\alpha_2 - \alpha_1 \beta_{th,2}}$ ,  $|h_{p,u_2}|^2 = Y$ ,  $|h_{s,p}|^2 = Z$  and notice that,  $|h_{ij}|^2$  are exponential with mean values  $\lambda_{ij}$ ; then  $B_{00}$  and  $B_{01}$  are determined as:

$$B_{00} = e^{-\frac{\phi_0 \sigma^2}{P_{s,max} \lambda_{s,u_2}}} \left(1 - e^{-\frac{\theta_I}{\lambda_{s,p} P_{s,max}}}\right) \left(\frac{P_{s,max} \lambda_{s,u_2}}{P_{s,max} \lambda_{s,u_2} + w_0}\right) \quad (13a)$$

$$B_{01} = \frac{-\theta_I \lambda_{s,u_2}}{w_0 \lambda_{s,p}} \left[ e^{\frac{\theta_I \lambda_{s,u_2}}{w_0} \left(\frac{\phi_0 \sigma^2}{\theta_I \lambda_{s,u_2}} + \frac{1}{\lambda_{s,p}}\right)} \times E_i \left[ \frac{-\theta_I}{P_{s,max}} \left(\frac{\phi_0 \sigma^2}{\theta_I \lambda_{s,u_2}} + \frac{1}{\lambda_{s,p}}\right) - \left(\frac{\phi_0 \sigma^2}{\theta_I \lambda_{s,u_2}} + \frac{1}{\lambda_{s,p}}\right) \frac{\theta_I \lambda_{s,u_2}}{w_0} \right] \right] \quad (13b)$$

where  $w_0 = \phi_0 P_p \lambda_{p,u_2}$  and  $E_i(\cdot)$  is the exponential integral function. Notice that (13b) is obtained by using (3.352.2) of [33]. Substituting (13a) and (13b) in (12b) gives  $B_0$  as in (14), given on next page. Utilizing (1) and (5), further  $B_1$  and  $B_3$  can be determined similar to  $B_0$ .

1) *Outage Probability of  $SU_{1k}$  in CRP-CNOMA Network (CRPCN)*: Based on PRS scheme  $SU_{1k}$  is chosen and this relay is used for CRP-CNOMA as well. Ensure that both  $m_2$  and  $m_{1k}$  are successfully decoded at  $SU_{1k}$ , as CRP-NOMA system works in CN mode alone. Thus,  $P_o$  experienced by  $SU_{1k}$  in CRPCN system is determined as:

$$P_{o,1k}^{CRPCN} = 1 - \Pr(\Gamma_{1k,m_2}^{CN} \geq \beta'_{th,2}, \Gamma_{1k,m_{1k}}^{CN} \geq \beta'_{th,1}) \quad (17)$$

Analytical expression for  $P_{o,1k}^{CRPCN}$  is given by:

$$P_{o,1k}^{CRPCN} = \begin{cases} 1 - B_3; & 0 < \alpha_1 < \frac{1}{1 + \beta'_{th,2}}, \frac{\zeta \beta'_{th,1}}{1 + \zeta \beta'_{th,1}} < \alpha_1 < 1 \\ 1 & ; \text{otherwise} \end{cases} \quad (18)$$

### B. Outage Probability of Far User $SU_2$ in IRPCN

Notice that  $SU_2$  will experience outage, if it fails to decode  $m_2$  in DN and CN mode. Thus,  $P_o$  experienced by  $SU_2$  in IRPCN is calculated as:

$$P_{o,2}^{IRPCN} = \Pr(\Gamma_{2,m_2}^{DN} < \beta_{th,2}, \Gamma_{1k,m_2}^{CN} < \beta'_{th,2}) + \Pr(\Gamma_{2,m_2}^{DN} < \beta_{th,2}, \Gamma_{1k,m_2}^{CN} \geq \beta'_{th,2}, \Gamma_{2,m_2}^{CN} < \beta'_{th,2}) \quad (19)$$

Analytical expression for  $P_{o,2}^{IRPCN}$  is given by:

$$P_{o,2}^{IRPCN} = \begin{cases} (1 - B_0)(1 - C_0) + C_0(1 - B_0)C_1; & 0 < \alpha_1 < \frac{1}{1 + \beta'_{th,2}} \\ 1 - B_0 & ; \frac{1}{1 + \beta'_{th,2}} < \alpha_1 < \frac{1}{1 + \beta_{th,2}} \\ 1 & ; \text{otherwise} \end{cases} \quad (20)$$

where  $C_0$  and  $C_1$  can be determined similar to  $B_0$  discussed earlier and are given by (21) and (22), given on next page with  $w_3 = i\phi_3 P_p \lambda_{p,u_{1k}}$ ,  $w_4 = P_{u_{1k},max} \lambda_{u_{1k},p}$  and  $w_5 = P_{u_{1k},max} \lambda_{u_{1k},u_2}$ .

1) *Outage Probability of Far User  $SU_2$  in CRPCN*: As we know that CRP-CNOMA system works in CN mode alone and then the  $P_o$  experienced by  $SU_2$  in CRPCN is determined as:

$$P_{o,2}^{CRPCN} = 1 - \Pr(\Gamma_{1k,m_2}^{CN} \geq \beta'_{th,2}, \Gamma_{2,m_2}^{CN} \geq \beta'_{th,2}) \quad (23)$$

Analytical expression for  $P_{o,2}^{CRPCN}$  is given by:

$$P_{o,2}^{CRPCN} = \begin{cases} 1 - C_0(1 - C_1); & 0 < \alpha_1 < \frac{1}{1 + \beta'_{th,2}} \\ 1 & ; \text{otherwise} \end{cases} \quad (24)$$

### C. System Outage Probability ( $P_{o,sys}$ )

The outage of the system happens when either  $SU_{1k}$  or  $SU_2$  or both experience outages in the both the DN mode and the CN mode in IRP-CNOMA. Therefore, the following equation can be used to determine  $P_{o,sys}^{IRPCN}$ :

$$P_{o,sys}^{IRPCN} = 1 - \Pr(\Gamma_{2,m_2}^{DN} \geq \beta_{th,2}, \Gamma_{1k,m_2}^{DN} \geq \beta_{th,2}, \Gamma_{1k,m_{1k}}^{DN} \geq \beta_{th,1}) - \Pr(\Gamma_{2,m_2}^{DN} < \beta_{th,2}, \Gamma_{1k,m_2}^{CN} \geq \beta'_{th,2}, \Gamma_{1k,m_{1k}}^{CN} \geq \beta'_{th,1}, \Gamma_{2,m_2}^{CN} \geq \beta'_{th,2}) \quad (25)$$

Knowing  $B_0$ ,  $B_1$ ,  $B_3$  and  $C_1$ , then  $P_{o,sys}^{IRPCN}$  can be determined and the closed form equation is given by:

$$P_{o,sys}^{IRPCN} = \begin{cases} 1 - (B_0 B_1) - ((1 - B_0) B_3 (1 - C_1)); \\ 0 < \alpha_1 < \frac{1}{1 + \beta'_{th,2}}, \frac{\zeta \beta'_{th,1}}{1 + \zeta \beta'_{th,1}} < \alpha_1 < 1 \\ (1 - B_0) B_1; \frac{1}{1 + \beta'_{th,2}} < \alpha_1 < \frac{1}{1 + \beta_{th,2}}, \\ \frac{\zeta \beta_{th,1}}{1 + \zeta \beta_{th,1}} < \alpha_1 < \frac{\zeta \beta'_{th,1}}{1 + \zeta \beta'_{th,1}} \\ 1 & ; \text{otherwise} \end{cases} \quad (26)$$

System outage occurs in CRP-CNOMA, when  $SU_{1k}$  or  $SU_2$  suffer outage in CN mode. Thus,  $P_{o,sys}^{CRPCN}$  is determined as:

$$P_{o,sys}^{CRPCN} = 1 - \Pr(\Gamma_{1k,m_2}^{CN} \geq \beta'_{th,2}, \Gamma_{1k,m_{1k}}^{CN} \geq \beta'_{th,1}, \Gamma_{2,m_2}^{CN} \geq \beta'_{th,2}) \quad (27)$$

The closed form expression for the system outage in CRP-

CNOMA is given by:

$$P_{o,sys}^{CRPCN} = \begin{cases} 1 - B_3(1 - C_1); 0 < \alpha_1 < \frac{1}{1 + \beta'_{th,2}}, \\ \frac{\zeta \beta'_{th,1}}{1 + \zeta \beta'_{th,1}} < \alpha_1 < 1 \\ 1 & ; \text{otherwise} \end{cases} \quad (28)$$

### D. System Throughput

The system throughput depends on  $P_o$  experienced by the SUs, since ST serves  $SU_{1k}$  and  $SU_2$  with constant target rates. In IRP-CNOMA, let  $P_1^{DN}$  and  $P_1^{CN}$  be the probabilities of  $m_{1k}$  delivered to  $SU_{1k}$  in DN and CN modes respectively. Further, let  $P_2^{DN}$  and  $P_2^{CN}$  be the probabilities which  $m_2$  is delivered to  $SU_2$  in DN and CN modes in CRP-CNOMA. Then, the system throughput for IRPCN and CRPCN is given by:

$$S^{IRPCN} = P_1^{DN} r_1 + P_1^{CN} \frac{r_1}{2} + P_2^{DN} r_2 + P_2^{CN} \frac{r_2}{2} \quad (29)$$

$$S^{CRPCN} = P_1^{CN} \frac{r_1}{2} + P_2^{CN} \frac{r_2}{2} \quad (30)$$

Now  $P_1^{DN}$  and  $P_2^{DN}$  are determined as follows:

$$P_1^{DN} = \Pr(\Gamma_{1k,m_2}^{DN} \geq \beta_{th,2}, \Gamma_{1k,m_{1k}}^{DN} \geq \beta_{th,1}) = B_1; 0 < \alpha_1 < \frac{1}{1 + \beta_{th,2}}, \frac{\zeta \beta_{th,1}}{1 + \zeta \beta_{th,1}} < \alpha_1 < 1 \quad (31)$$

$$P_2^{DN} = \Pr(\Gamma_{2,m_2}^{DN} \geq \beta_{th,2}) = B_0; 0 < \alpha_1 < \frac{1}{1 + \beta_{th,2}} \quad (32)$$

Notice that  $P_1^{CN} = 1 - P_{o,1K}^{CRPCN}$  and  $P_2^{CN} = 1 - P_{o,2}^{CRPCN}$ . The throughput can be determined by using (29) and (30).

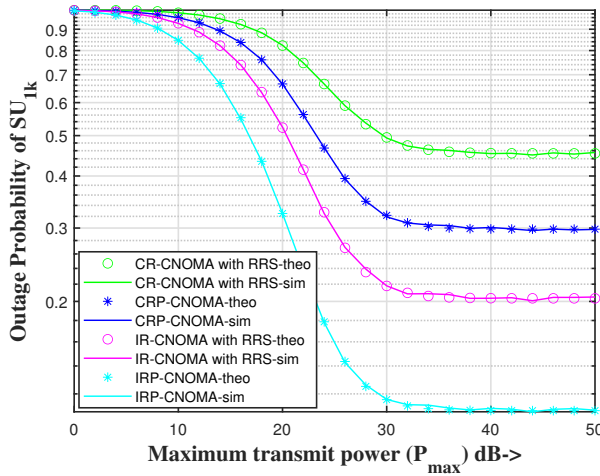
$$B_0 = e^{-\frac{\phi_0 \sigma^2}{P_{s,max} \lambda_{s,u_2}}} \left( 1 - e^{-\frac{\theta_I}{\lambda_{s,p} P_{s,max}}} \right) \left( \frac{P_{s,max} \lambda_{s,u_2}}{P_{s,max} \lambda_{s,u_2} + \phi_0 P_p \lambda_{p,u_2}} \right) - \frac{\theta_I \lambda_{s,u_2}}{\phi_0 P_p \lambda_{p,u_2} \lambda_{s,p}} \left[ e^{\frac{\theta_I \lambda_{s,u_2}}{\phi_0 P_p \lambda_{p,u_2}}} \left( \frac{\phi_0 \sigma^2}{\theta_I \lambda_{s,u_2}} + \frac{1}{\lambda_{s,p}} \right) \right] \times E_i \left[ - \left( \frac{\phi_0 \sigma^2}{\theta_I \lambda_{s,u_2}} + \frac{1}{\lambda_{s,p}} \right) \frac{\theta_I}{P_{s,max}} - \left( \frac{\phi_0 \sigma^2}{\theta_I \lambda_{s,u_2}} + \frac{1}{\lambda_{s,p}} \right) \frac{\theta_I \lambda_{s,u_2}}{\phi_0 P_p \lambda_{p,u_2}} \right]; \quad 0 < \alpha_1 < \frac{1}{1 + \beta_{th,2}} \quad (14)$$

$$B_1 = \sum_{i=1}^K (-1)^{i-1} \binom{K}{i} e^{-\frac{i \phi_1 \sigma^2}{P_{s,max} \lambda_o}} \left( 1 - e^{-\frac{\theta_I}{\lambda_{s,p} P_{s,max}}} \right) \left( \frac{P_{s,max} \lambda_o}{P_{s,max} \lambda_o + w_1} \right) - \sum_{i=1}^K (-1)^{i-1} \binom{K}{i} \frac{\theta_I \lambda_o}{w_1 \lambda_{s,p}} \left[ e^{\frac{\theta_I \lambda_o}{w_1}} \left( \frac{i \phi_1 \sigma^2}{\theta_I \lambda_o} + \frac{1}{\lambda_{s,p}} \right) \right] \times E_i \left[ - \left( \frac{i \phi_1 \sigma^2}{\theta_I \lambda_o} + \frac{1}{\lambda_{s,p}} \right) \frac{\theta_I}{P_{s,max}} - \left( \frac{i \phi_1 \sigma^2}{\theta_I \lambda_o} + \frac{1}{\lambda_{s,p}} \right) \frac{\theta_I \lambda_o}{w_1} \right]; \quad 0 < \alpha_1 < \frac{1}{1 + \beta_{th,2}}, \frac{\zeta \beta_{th,1}}{1 + \zeta \beta_{th,1}} < \alpha_1 < 1 \quad (15)$$

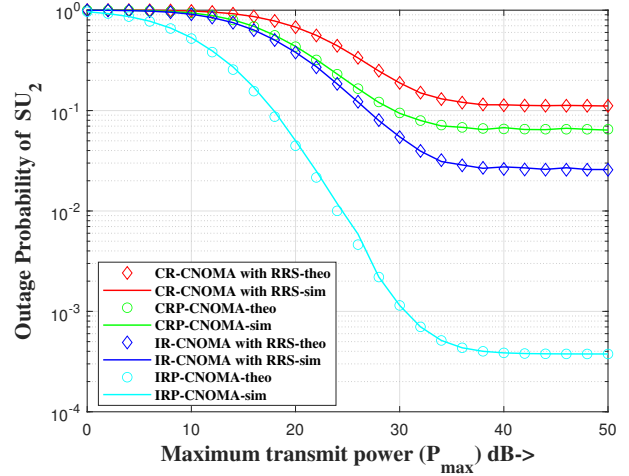
$$B_3 = \sum_{i=1}^K (-1)^{i-1} \binom{K}{i} e^{-\frac{i \phi_2 \sigma^2}{P_{s,max} \lambda_o}} \left( 1 - e^{-\frac{\theta_I}{\lambda_{s,p} P_{s,max}}} \right) \left( \frac{P_{s,max} \lambda_o}{P_{s,max} \lambda_o + w_2} \right) - \sum_{i=1}^K (-1)^{i-1} \binom{K}{i} \frac{\theta_I \lambda_o}{w_2 \lambda_{s,p}} \left[ e^{\frac{\theta_I \lambda_o}{w_2}} \left( \frac{i \phi_2 \sigma^2}{\theta_I \lambda_o} + \frac{1}{\lambda_{s,p}} \right) \right] \times E_i \left[ - \left( \frac{i \phi_2 \sigma^2}{\theta_I \lambda_o} + \frac{1}{\lambda_{s,p}} \right) \frac{\theta_I}{P_{s,max}} - \left( \frac{i \phi_2 \sigma^2}{\theta_I \lambda_o} + \frac{1}{\lambda_{s,p}} \right) \frac{\theta_I \lambda_o}{w_2} \right]; \quad 0 < \alpha_1 < \frac{1}{1 + \beta'_{th,2}}, \frac{\zeta \beta'_{th,1}}{1 + \zeta \beta'_{th,1}} < \alpha_1 < 1 \quad (16)$$

$$C_0 = \sum_{i=1}^K (-1)^{i-1} \binom{K}{i} e^{-\frac{i\phi_3\sigma^2}{P_{s,max}\lambda_o}} \left(1 - e^{-\frac{\theta_I}{\lambda_{s,p}P_{s,max}}}\right) \left(\frac{P_{s,max}\lambda_o}{P_{s,max}\lambda_o + w_3}\right) - \sum_{i=1}^K (-1)^{i-1} \binom{K}{i} \frac{\theta_I\lambda_o}{w_3\lambda_{s,p}} \left[ e^{\frac{\theta_I\lambda_o}{w_3} \left(\frac{i\phi_3\sigma^2}{\theta_I\lambda_o} + \frac{1}{\lambda_{s,p}}\right)} E_i \left[ - \left(\frac{i\phi_3\sigma^2}{\theta_I\lambda_o} + \frac{1}{\lambda_{s,p}}\right) \frac{\theta_I}{P_{s,max}} - \left(\frac{i\phi_3\sigma^2}{\theta_I\lambda_o} + \frac{1}{\lambda_{s,p}}\right) \frac{\theta_I\lambda_o}{w_3} \right] \right]; \quad 0 < \alpha_1 < \frac{1}{1 + \beta'_{th,2}} \quad (21)$$

$$C_1 = \left(1 - e^{-\frac{\theta_I}{w_4}}\right) \left(1 - \frac{w_5}{\lambda_{p,u_2}\beta'_{th,2}P_p + w_5} e^{-\frac{\beta'_{th,2}\sigma^2}{w_5}}\right) + e^{-\frac{\theta_I}{w_4}} - \frac{\theta_I\lambda_{u_{1k},u_2}}{\lambda_{p,u_2}\lambda_{u_{1k},p}\beta'_{th,2}P_p} \left[ e^{\frac{\theta_I\lambda_{u_{1k},u_2}}{\beta'_{th,2}P_p\lambda_{p,u_2}} \left(\frac{\beta'_{th,2}\sigma^2}{\theta_I\lambda_{u_{1k},u_2}} + \frac{1}{\lambda_{u_{1k},p}}\right)} \times E_i \left[ - \left(\frac{\beta'_{th,2}\sigma^2}{\theta_I\lambda_{u_{1k},u_2}} + \frac{1}{\lambda_{u_{1k},p}}\right) \frac{\theta_I}{P_{u_{1k},max}} - \left(\frac{\sigma^2}{P_p\lambda_{p,u_2}} + \frac{\theta_I\lambda_{u_{1k},u_2}}{\beta'_{th,2}P_p\lambda_{p,u_2}}\right) \right] \right]; \quad 0 < \alpha_1 < \frac{1}{1 + \beta'_{th,2}} \quad (22)$$



(a)



(b)

Fig. 2. (a) Outage probability of  $SU_{1k}$  vs Maximum transmit power ( $P_{max}$ ) (b) Outage probability of  $SU_2$  vs Maximum transmit power ( $P_{max}$ ).

#### IV. ANALYTICAL AND SIMULATION RESULTS

We consider a 2D topology as shown in Fig. 1, whereby the position of ST,  $SU_{1k}$ ,  $SU_2$ , PT and PR are fixed at (0, 0), (5, 5), (10, 0), (2.5, 10) and (7.5, 10) respectively (expressed in meters). The mean channel power gains are assumed to be  $\lambda_{i,j} = d_{i,j}^{-n}$ , where  $d_{i,j}$  is the normalized distance ( $d_0 = 10m$  i.e., normalized in relation to the reference distance) between nodes  $i, j$  and  $n$  (assumed as 3 for all the links) is the path loss exponent [34]. The analysis is carried out by considering various parameters which is shown in Table 2. Also, These analytical findings are validated by Monte-carlo simulations.

In Figs. 2(a)-2(b), the  $P_o$  of the SUs is plotted against  $P_{max}$  (where  $P_{max} = P_{s,max} = P_{u_{1k},max}$ ) of the ST. The PRS scheme is compared with RRS for IRP/CRP-CNOMA systems. Observed that the  $P_o$  of the SUs decrease with the increase in  $P_{max}$  of the ST and saturates beyond the threshold. When  $P_{max} < \theta_I$ , the transmit power depends on  $P_{max}$  and when  $P_{max} > \theta_I$ , the transmit power depends on the threshold power constraint as given in (2). Also, the  $P_o$  of SUs with PRS scheme is lower than the RRS scheme. In Fig. 3, the

TABLE II  
SYSTEM PARAMETERS

Parameters	Values
$\alpha_1, \alpha_2$	0.2, 0.8
$\sigma^2$ , imperfect SIC ( $\zeta$ )	1, 0.1
K	5
$r_1, r_2$	0.6, 0.7
$d_{s,p}, d_{s,u_{1k}}, d_{s,u_2}$ ,	3.25, 0.707, 1,
$d_{u_{1k},u_2}, d_{p,u_{1k}}$ & $d_{p,u_{1k}}$	0.707, 0.55 and 0.559
$\theta_I, P_p$	30 dB, 10 dB

$P_{o,sys}$  of IRP/CRP-CNOMA is plotted against the  $P_{max}$ . As  $P_{max}$  is increased, the system outage probability is found to decrease as long as  $P_{max} < \theta_I$ . This happens because, when  $P_{max} < \theta_I$ , the instantaneous transmit powers of ST and  $SU_1$  (i.e.,  $P_s$  and  $P_{u_{1k}}$  respectively) depend on  $P_{max}$  as is evident from (2). However, when  $P_{max} > \theta_I$ , the system outage probability saturates and becomes independent of  $P_{max}$ , i.e., an outage floor is observed. This happens because, when

$P_{max} > \theta_I$ , both  $P_s$  and  $P_{u,k}$  no longer depend on  $P_{max}$  as is evident from (2). The results demonstrate that PRS scheme reduced  $P_{o,sys}$  of the system and the  $P_{o,sys}$  of SUs with PRS scheme is lower than the RRS scheme. Increasing the number of relay nodes improves the possibility of choosing the relay with higher channel gain.  $|h_{i,j}|^2$ . This leads to improvement in the the outage probability and the throughput performances. In Fig. 4, the  $P_{o,sys}$  of IRP-CNOMA and IR-CNOMA with RRS is plotted against the  $P_{max}$  for distinct  $K$  values. Further, the results show that the  $P_{o,sys}$  reduced when  $K$  is increased. Also, IRP-CNOMA provides lower  $P_{o,sys}$  compared to the IR-CNOMA with RRS scheme. Fig. 5, shows the throughput performance of the IRP/CRP-CNOMA considering PRS and RRS schemes against  $P_{max}$ , where the interference threshold of the PR ( $\theta_I$ ) is selected as a fixed quantity. As  $P_{max}$  is increased, throughput has been observed to increase as long as  $P_{max} < \theta_I$ . The results show that the IRP-CNOMA provides higher throughput compared to the CRP-CNOMA system and also increased for compared to the RRS scheme. In Fig. 6, the throughput performance of the IRP-CNOMA and IR-CNOMA with RRS is plotted against the  $P_{max}$  for distinct  $K$  values. Further, the results in Fig. 6 show that the throughput improves when  $K$  is increased. Also, IRP-CNOMA provides higher throughput compared to the IR-CNOMA with RRS scheme.

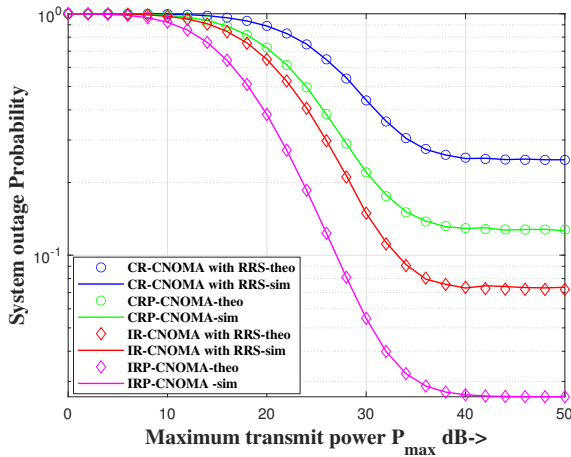


Fig. 3. System outage probability vs Maximum transmit power ( $P_{max}$ ).

## V. CONCLUSION

In this research work, we have proposed incremental relaying with partial relay selection scheme for CNOMA system with  $K$ -near user relays to forward the message of far user. The throughput performance of the IRP-CNOMA is compared with CRP-CNOMA network and RRS schemes. From the results, it is evident that the proposed scheme reduces the outage probability of the SUs and system outage probability. The proposed scheme is analytically modelled, closed form expression are derived and the results are verified with extensive simulation studies. Simultaneous wireless information and power transfer (SWIPT) - enabled relay-aided CNOMA systems under partial relay selection as well as integration of deep learning (DL) technique to further enhance

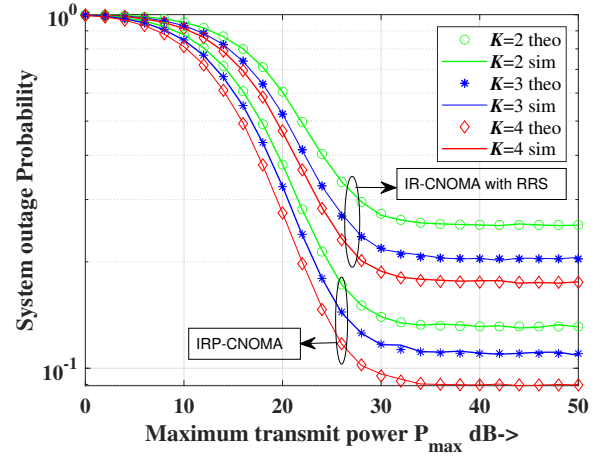


Fig. 4: System outage probability vs Maximum transmit power ( $P_{max}$ ): distinct  $K$

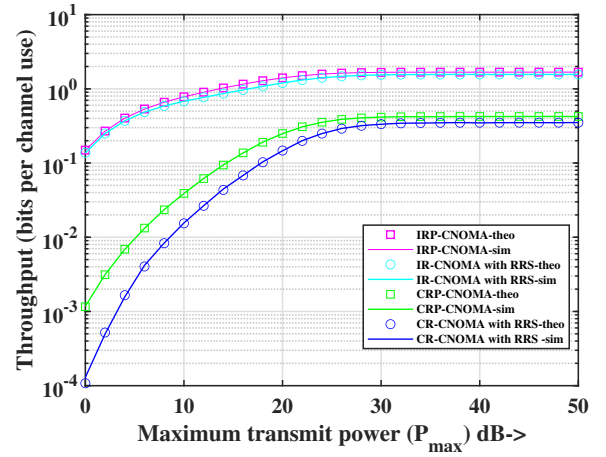


Fig. 5: Throughput vs Maximum transmit power ( $P_{max}$ ).

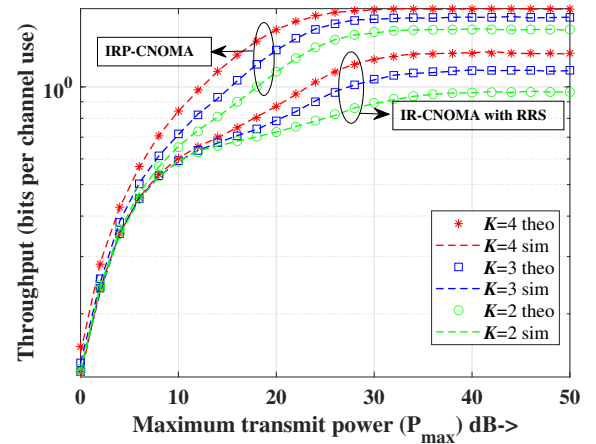


Fig. 6: Throughput vs Maximum transmit power ( $P_{max}$ ): distinct  $K$ .

the performance of IRP-CNOMA are the directions for the future work. But complexity in selecting suitable relays for energy harvesting, limited availability of hardware for SWIPT-enabled relay experimentation and lack of proper data set for the implementation of artificial intelligence (AI) techniques are the major limitations for the proposed future work.



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