

# Demand-Driven Beam Densification in Multibeam Satellite Communication Systems

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**Traditional multibeam geostationary satellite communication systems provide broadband coverage using a regular grid of fixed spot-beams with uniform four-color frequency reuse scheme. However, user distribution is nonuniform on ground and, consequently, the demand distribution varies geographically. One potential solution to address high-demand regions is to enhance the satellite beam gain only in those areas. In this article, we propose the so-called demand driven beam densification approach, which leverages the recent advances in on-board active antenna technologies to generate a higher number of beams over high demand hot-spot areas. Increasing the number of beams result in higher beam overlapping, which needs to be carefully considered within the beam frequency planning. In this context, we propose a combination of beam densification, where the number of beams and beam placement is optimized targeting the demand satisfaction objective, followed by frequency-color coding strategy for efficient spectrum and interference management. Supporting results based on numerical simulations show the benefits of the proposed demand driven beam densification in terms of demand matching**

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performance compared with nondensified schemes and regular densification schemes.

## I. INTRODUCTION

Reusing the spectral resources across sufficiently separated geographical areas has been considered as the baseline design to ensure high spectral efficiency in broadband-multibeam geostationary (GEO) satellite communication systems [1], [2]. Conventional GEO satellite beam-pattern considers a regular spot-beam grid over the targeted coverage area, where the so-called four-color frequency reuse is applied [3], [4]. In other words, satellite communications systems allow using two polarizations concurrently and, hence, the overall available spectrum is divided into two orthogonal blocks and each block is used either with one or the other of orthogonal polarizations, resulting in four noninterfering frequency resources.

Due to rapid population growth and its spatial distribution, the communication traffic is highly nonuniform over the Earth. This has led to hot-spot regions with high capacity requirement over Europe, Eastern and Western United States, and South East Asia [5]. For illustration purposes, an example of such high demand hot-spot region generated with the SnT Satellite Traffic Emulator [6] is shown in Fig. 1, where high demand hot spots are majorly created by maritime and aeronautical terminals, who represent basically a superuser (cluster of large number of users in a flight or ship).

Accordingly, traditional method of regular spot-beam grid with spectral reuse of four noninterfering frequency resources fails to provide demand satisfaction at these so called high demand hot-spot regions [7], [8], [9], [10], [11]. This is because the traditional regular beam grid is designed to provide the same capacity to all beams.

Future high-throughput satellites (HTS) will count with different degrees of freedom to dynamically adapt the supplied capacity to the on-ground demand [12]. Flexibility is typically enabled by two different technologies: i) frequency flexibility, enabled by on-board channelization of the different beams [13], [14]; and ii) time flexibility, most commonly known with beam hopping (BH), where the same spectral resource is shared by a subset of beams that is active for a certain period of time [15], [16]. Power control can also play a role [17], [18], although has minor impact than the frequency/time domain optimization.

Recently, the current state-of-the-art satellite communications see a trend toward the deployment of on-board active antenna systems [19], [20], [21]. As highlighted in the recent review paper of de Gaudenzi et al. [20], the adoption of active antennas with large number of radiating elements and digital beam-forming will open the door to the exploitation of advanced beam pattern design in telecommunication satellites.

### A. Motivation

By the end of 2020, 43 million broadband users were connected using communication satellites. Furthermore,

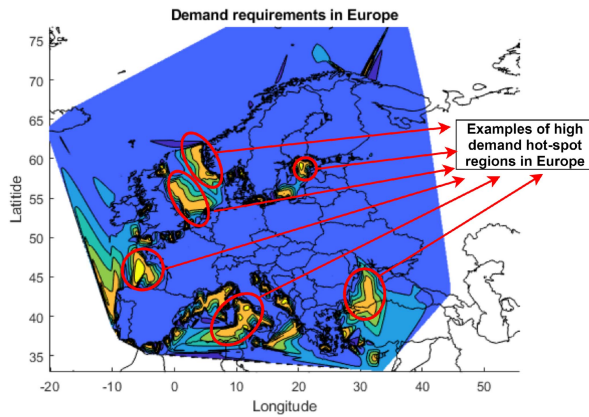


Fig. 1. Demand distribution at 12 PM EST.

this number is expected to be increased to 110 million by the end of 2029. Also, with advancements in very high throughput satellite systems, it is estimated that the satellite connectivity can be provided to more than 697 million broadband users across the globe [22].

Furthermore, the current satellite broadband users are diverse and have unique demand and latency requisites. For example, fixed satellite service (FSS) terminal users including home broadband terminals require web browsing and video streaming traffic. Governmental terminal users require secure communications with ultrareliable traffic. Vehicle to everything (V2X) communication users require low latency ultrareliable traffic. Mobile terrestrial users, broadband aeronautical users, and broadband maritime users require continuity in traffic, irrespective of the frequent changes in location/user positions. Accordingly, such mobile users with unevenly distributed users will result in not only nonuniformly distributed demand profiles, but also in time sensitive dynamically changing demand profiles [23], [24], [25], [26].

Furthermore, satellite operators and service providers agree upon a legal contract named service-level agreement (SLA) that involves volume-based guaranteed broadband rate with availability constraint. Such SLAs will evolve with more sophisticated definitions, including minimum/average achievable throughput, packet loss due to ACM error during configuration changes and failure to meet the latency constraints [27].

Hence, to provide every broadband user with the agreed levels of all the metrics defined in the SLA, especially for nonuniformly distributed demand profiles that are also dynamically changing, is a challenging job for the satellite operators. Hence, there is a need for conventional rigid high throughput multibeam satellite systems to adapt to the beam profiles. Accordingly, in this work, to make optimum use of the available resources, we aim to deliver capacity where it is required the most. Also, unlike regular capacity maximization approach, we consider demand satisfaction as a key metric.

## B. Key Enabling Technologies for Beam Densification

1) *Trends in On-Board Antenna Architectures*: The conventional regular beam grid is typically obtained from

a single-feed per beam (SFPB) architecture, where each spot beam on the ground is generated by using a single antenna feed element, typically a feed horn. The SFPB architecture is simplistic in terms of hardware but it scales in an unsustainable manner when the number of beams increases [28]. Furthermore, SFPB requires generally four reflectors to generate beams adjacent to each other.

Array antennas are very well established solutions in the general wireless communication domain and it was a question of time that they will break into the satellite architecture. Array antennas can generate customized radiating patterns with high directivity by using a large number of radiating elements. The latter is known as multifeed per beam (MFPB) architecture [29], because a subset of feeds is used to generate one beam. The main advantages of the MFPB architecture are that 1) it requires only two reflectors, one for transmission and one for reception; and 2) combined with a beamforming network (BFN), it allows to reconfigure the desired beam pattern [30].

Large array fed reflector (AFR), either employing direct center-fed or offset-fed architectures/focal or defocused architectures, have been shown promising performance in the satellite communications domain [31]. The offset design is frequently preferred as it has no blockage [32]. Such rapid development of on-board active antenna systems has made it feasible to implement more advanced beam pattern solutions when facing nonuniform geographical demand patterns and is the key enabler for beam densification.

2) *Digital Beamforming Network (DBN)*: In the current satellites, conventional analog beamforming networks are used to perform fixed beamforming, where weights are designed in advance and kept constant, resulting in fixed beam patterns and footprints. However, flexibility in coverage is a must for future generation satellite systems and, hence, active antenna arrays powered by digital beamforming network (DBN) became a relevant technical solution [33], [34], [35].

Accordingly, DBN generates reconfigurable beams on Earth, such that the array beam pattern is automatically optimized by adaptively calculating complex weighting coefficients until a certain optimization is achieved. The optimization criteria can be demand satisfaction, maximization of the signal-to-interference-and-noise ratio, minimization of the mean square error (MSE), linear constraint minimum variance (LCMV), etc. These array beam pattern can also be changed quite significantly from time-slot to time-slot based on the demand profiles [36].

Also, unlike conventional TWTA-based payloads, where per-beam power constraint limits the adaptable designs, DBF enabled advanced payloads provide additional degree of freedom where only the total sum-power constraint has to be respected.

3) *Digital Transparent Payload (DTP)*: In conventional satellite systems, the payloads do not have digital channelization such that the feeder link signal is simply converted in frequency, amplified, and forwarded preventing any possibility for flexible channelization and load balancing. However, satellite manufacturers and operators

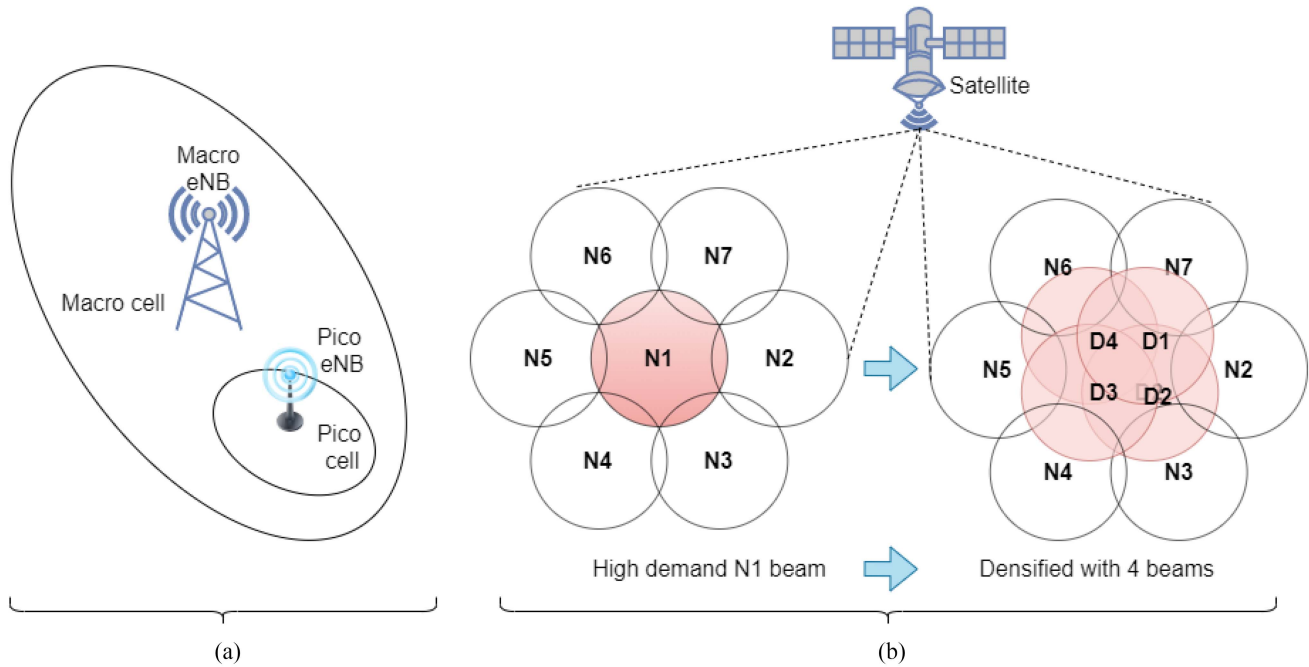


Fig. 2. Beam densification in terrestrial network and satellite network. (a) Densification in terrestrial network using small pico cells. (b) Densification in satellite network using more number of beams.

such as SES S.A. are currently deploying advanced Digital Transparent Payload DTP as the de facto platform for future missions [37]. DTP enables digital synthesis of narrow-band user/beam specific carriers from the incoming wide-band stream using filter banks and programmable routing of such carriers to end users, offering flexibility in terms of connectivity, channelization, and frequency plan [7], [38], [39], [40].

### C. Related Works

Beam densification is a new concept in satellite communication. Nevertheless, a similar concept was introduced in terrestrial communications with the advent of the 5th Generation (5G) of cellular networks [41], [42], where the network is densified by placing smaller pico cells in the coverage region of macro cells [Fig. 2(a)]. Related works include, for example, [43], where the authors discuss industry perspectives of cell densification and the challenges of small cell backhaul, or [44], where the authors explain the benefits of spacial densification in 5G systems.

However, the cell densification of 5G differs in some aspects from the beam densification proposed herein. On one hand, the densification in 5G was imposed by the use of higher frequency bands (from sub-6 GHz to mmWave) [44], which intrinsically provide shorter coverage range. Therefore, the signal loss between the cell center and the cell edge should remain approximately the same as for the nondensified case (in case we keep the same antenna design). On the other hand, shorter wavelengths due to higher frequencies have empowered the design of antenna arrays antennas and unleashed their beamforming capabilities [45], [46]. The

latter allows us to steer the signal toward specific directions, thus compensating the signal loss with beam pattern gain.

In the case of HTS systems [Fig. 2(b)], they have been for a long time operating on the Ka-band for the user link but only recently they are making the technology shift to advanced antenna systems (AAS) [47]. Conventional GEO HTS beam patterns (i.e., without AAS) consider  $> 100$  spot-beams distributed in a regular manner over the coverage area, and with a typical coverage (between beam center and the half-power or  $-3$  dB point of the main lobe) of  $\sim 300$  Km. One of the main disadvantages of such beam planning is the fact that all geographical regions from the coverage region are treated in the same manner. For high demand areas (so-called hot-spots), it may occur that some users are located in the edge of a beam, where they suffer the  $-3$  dB beam pattern loss. Ideally, the beam pattern shall provide similar beam gain over the whole hot-spot area. Hence, the demand driven beam densification emerges as a potential solution to reduce the beam gain difference between user terminal in high demand areas.

The satellite beam densification as described above is relatively new, although some previous works have studied the beam pattern design from different perspective. In particular, Honnaiah et al. [48], [49] proposed an adaptive multibeam pattern and footprint plan, where they design spot beams with flexible size and position based on the spatial clustering of the users in order to increase the demand satisfaction of the users and flexibility of the high throughput satellite system. Furthermore, Ortiz-Gomez et al. [12] use fixed payload and optimize irregular beams coverage and beam pattern to minimize the error between offered and required capacity. Also, Guidotti and Vanelli-Coralli



[50] obtained significant gains by increasing or reducing the overlap of signals from adjacent beams at beam edge by adjusting transmission power.

In conventional regular grid of fixed spot beams, four-color frequency reuse coding is used for spectrum management, which divides the total available bandwidth into four subfrequencies and avoids interference between adjacent beams by not allocating the same subfrequency to any adjacent beams [7], [8]. However, recent studies in demand driven dynamic beam footprint design [48] and furthermore, beam densification discussed in this article has given raise to nonregular and overlapping beams. Hence, in such scenarios, the current four-color coding (spectral reuse of four noninterfering frequency resources) will not be able to preclude the interbeam interference and consequently, affects the capacity performance of the system [9], [10], [11].

Hence, in irregular and overlapping beams, we must increase color coding factor to reduce the interbeam interference. However, having high number of colors will result in lower bandwidth availability per beam and reduces the spectral efficiency. On the other hand, having fewer colors will result in higher interbeam interference. Hence, in this article, in order to color code with highest spectral efficiency and least interbeam interference in irregular and overlapped beam footprints, we focus on graph theory based color coding scheme, which on one hand improves the spectral efficiency by choosing least number of colors and on the other, assigns colors to beams for least interbeam interference.

Furthermore, the beams used for densification herein will retain the shape and size of the nondensified beams with same beam width/antenna gain. This is majorly because, the deployed beams are already as directive as possible (based on the state-of-the-art technologies) and any further attempt to reduce the narrow beams will increase the complexity of the payload architecture.

#### D. Contributions

In this work, we focus on frequency and beam pattern flexibility. In particular, we carry out a design tradeoff analysis to evaluate the performance of demand driven beam densification, which involves increasing the number of beams at high demand hot-spot regions for demand satisfaction. In our design, the power and frequency resources are limited, and the increase in number of beams does not translate into an increase of available resources. While on one hand, beam densification allows us to schedule a higher number of users at the same time, it also facilitates the scheduled users to experience better transmit antenna gain. However, the resources per user may diminish with densification, revealing a tradeoff design. Furthermore, we study the impact of beam densification on the neighboring nondensified beams. Also, we focus on employing demand-driven system adaptability by proposing demand driven beam densification and finally, we propose a novel demand driven frequency reuse strategy

using dynamic color coding. The detailed contributions of the article can be listed as follows:

- 1) *Regular beam densification*: First of all, we propose and evaluate the potential of a regular beam densification over the target hot-spot area. The latter does not involve any optimization procedure, but rather a systematic densification regardless of the particularities of the scenario. For this, we present a system design tradeoff analysis of a specific regular densified beam configuration for different frequency coloring/reuse (including full frequency reuse with and without linear precoding). With this preliminary study, we not only identify the main benefits that can be reached with beam densification but also enumerate the design challenges such as determining the number of beams for the densified area and determining the appropriate frequency reuse scheme. These two points motivate the next contributions listed below, which propose an optimization-based design targeting end-user demand-matching.
- 2) *Number of beams*: Beam densification involves increasing the number of beams over a certain high demand coverage, while considering the same system power and bandwidth. However, selecting the right number of beams is a challenging task. Choosing too many beams may cause the undesirable effect of increasing the beam overlap (subsequently, the interbeam interference) and reduction in bandwidth per beam (when higher order frequency reuse is implemented). In this work, we propose a methodology to determine the right number beams for beam densification in accordance to the demand requisites.
- 3) *Beam Placement*: After the determination of the right number of beams, it is very important to choose the positions of the beams in the high demand coverage region. Traditionally, beams are always chosen equidistant from each other on a grid-like structure (i.e., regular beam grid). In this work, the beam placement is driven by the spatial demand distribution. In particular, we proposed a methodology to find the best beam position by minimizing the error between the demand and offered capacity.
- 4) *Dynamic frequency reusing scheme*: With the increased number of beams and irregular beam distribution, the frequency plan has to be carefully designed to avoid harmful interbeam interference. Therefore, the last contribution of our work targets the appropriate beam color coding scheme after densification. Having less “colors” will result in higher bandwidth availability per beam, but may lead to higher interbeam interference. In this work, we propose a novel graph theory based methodology to both minimize the number of colors and to obtain an optimal frequency plan strategy.

The rest of the article is organized as follows. In Section II, the system model employing multibeam high throughput satellite channel is described. In Section III,



regular beam densification is explained. Dynamic beam densification to define number of beams and beam positions is explained in Section IV. In Section V, dynamic frequency reuse scheme is discussed. Section VI provides the simulation results. Finally, Section VII concludes the article.

*Notation:* We use upper-case and lower-case bold-faced letters to denote matrices and vectors, respectively.  $\circ$  denotes the elementwise Hadamard operations.  $(\cdot)^T$  denotes the transpose of  $(\cdot)$ .  $|\cdot|$  and  $\|\cdot\|$  depict the amplitude and Euclidean norm, respectively.

## II. SYSTEM MODEL

### A. Multibeam Satellite System

We consider a GEO multibeam HTS system, where the ground segment is assumed to be a single gateway with ideal feeder link. The purpose of densification is better resource management. This is done in the user link by reducing the resources allocated to low demand cold spot regions and reallocating it to high demand hot spot regions. Hence, densification not necessarily will increase the load on the feeder link. Nevertheless, we do agree that there will be certain degree of impact on the feeder link dimensioning. However, for the sake of focusing on the densification design, we assume ideal feeder link.

In the forward link, the multibeam satellite system provides service to  $N$  single-antenna users using  $K$  spot beams where  $N \gg K$ , which are distributed across the coverage area of the satellite. The user distribution on-ground is typically nonuniform, e.g., airport surrounding areas are typically more congested than residential low-populated areas. In addition, the demand requests of users depend on the final service, e.g., satellite back-hauling terminals tend to aggregate traffic of many cell phone users resulting in high demand, while residential broadband VSAT terminals typically requests lower traffic.

In this article, for comparison purposes, we will assume (for some cases) that the satellite system performs precoding on the transmitted signals [51]. In such cases, the precoding is calculated and implemented on ground at the gateway. After that, the precoded signals are transmitted through the feeder link to the satellite and the satellite performs a frequency shift, amplifies and forwards the precoded signals to the final users on ground. Low-complexity linear precoding techniques are considered to alleviate the complexity burden of the gateway [52].

The forward link air interface is assumed to be based on DVB-S2(X) [53], which considers Adaptive Coding and Modulation (ACM) allowing real-time adaptation of transmission parameters according to the link conditions.

### B. Multi-Beam Satellite Channel

For the methodology presented in this work, it does not matter if the beams are conformed with a single-feed-per-beam (SFPB) architecture or a multiple-feed-per-beam

(MFPB).<sup>1</sup> The users on each beam are served following a time-division multiplex (TDM) scheme, i.e., the entire forward link spectrum is used by one user at a time on each beam. Therefore, in the following, we make use of the same index to indicate served user and beam. The received signal of user  $n$  is  $y_n$  and is expressed as

$$y_n = \mathbf{h}_n^T \mathbf{x} + \mathfrak{N}_n \quad (1)$$

where  $\mathbf{h}_n \in \mathbb{C}^{K \times 1}$  is the channel vector and includes the channel coefficients seen by user  $n$  from all  $K$  beams;  $\mathbf{x}$  represents the vector of  $K$  symbols, and  $\mathfrak{N}_n$  is the zero-mean thermal noise seen by the user  $n$ . By rearranging all the users' received signals in a vector  $\mathbf{y} = [y_1 \dots y_K]^T \in \mathbb{C}^{K \times 1}$ , and  $\mathbf{H} = [\mathbf{h}_1 \dots \mathbf{h}_K]^T \in \mathbb{C}^{K \times K}$ , the above model can also be expressed as

$$\mathbf{y} = \mathbf{H}\mathbf{x} + \mathbf{n} \quad (2)$$

where  $\mathbf{n} \in \mathbb{C}^{K \times 1}$  is the concatenation of noise samples  $\mathfrak{N}_n$ .

The channel is defined as  $\mathbf{H} = \mathbf{B} \mathbf{\Phi}^{LNB} e^{\mathbf{\Phi}^{(prop)}} \in \mathbb{C}^{K \times K}$ , where  $\mathbf{B} = [\mathbf{b}_1 \dots \mathbf{b}_N]^T \in \mathbb{R}^{K \times K}$  is the system channel matrix whose  $(n, k)$ th component is given by

$$[\mathbf{b}]_{n,k} = \frac{\sqrt{G_{Rn} G_{kn}}}{(4\pi \frac{\mathcal{D}_n}{\lambda})} \quad (3)$$

where  $\lambda$  is the wavelength of the transmission,  $G_{kn}$  is the gain of beam  $k$  in the direction of user  $n$ ,  $G_{Rn}$  is the user's receive antenna gain, and  $\mathcal{D}_n$  is the distance between the satellite and the  $n$ th user.

Our channel has two phase terms introduced by the diagonal matrices  $\mathbf{\Phi}^{LNB}$  and  $\mathbf{\Phi}^{(prop)}$ . The phase noise introduced by the user's low-noise block (LNB) downconverter, whose diagonal elements  $\phi_n^{LNB}$  are modeled as Gaussian random variable with zero mean and standard deviation of  $\sigma_{RX} = 0.24^\circ$  [54]. The diagonal elements of the phase due to RF propagation  $\phi_n^{prop}$  depend on the user-to-satellite distance and are modeled as

$$\phi_n^{prop} = \frac{2\pi}{\lambda} \mathcal{D}_n \text{ [rad]}. \quad (4)$$

The received signal-to interference-plus-noise ratio (SINR) of the  $n$ th user is given by

$$\gamma_n = \frac{g_{n,n} p_n}{\sum_{k=1, k \neq n}^K g_{n,k} p_k + N_o B_n} \quad (5)$$

where  $p_n$  is the power allocated to any user  $n$ .  $B_n$  is the per-user occupied bandwidth, which in the case of unicast systems are equal to per-beam occupied bandwidth  $B_k$ . Furthermore, the total system bandwidth is a function of frequency reuse factor. For example, in 4CR frequency reuse generic case, the total system bandwidth is divided into four parts:  $B_n = B_k = B_{total}/4$ . However, considering the benefits of orthogonality introduced by polarization, in this article, for 4CR frequency reuse,  $B_n = B_k = B_{total}/2$ . In general,  $B_n = B_k = \frac{B_{total}}{1/2 \times (\text{number-of-colors})}$ . Hence, having

<sup>1</sup>The numerical simulations have been obtained with a SW-emulated defocused phased AFR.

higher number of colors will result in lower bandwidth availability per beam. Also,  $N_o$  is the noise spectral density and  $g_{n,k} = |h_{n,k}|^2$  is the channel power gain.

Furthermore, based on the values of modulation and coding schemes (ACM), DVB-S2X [53] defines a table to map SINR to spectral efficiency. Thus, the offered capacity obtained using spectral efficiency ( $\zeta$ ) in DVB-S2X defined table can be analyzed for more practical systems. Hence, in this work, we consider the system capacity based on DVB-S2X and is defined using

$$C_n = B_n \times \zeta(\gamma_n). \quad (6)$$

As mentioned earlier, sometimes in this work we evaluate full frequency reuse and, as a consequence, we assume that the transmitted symbols are precoded. In that case,  $\mathbf{x}$  represents the precoded signal and is given by

$$\mathbf{x} = \mathbf{W}\mathbf{s} \quad (7)$$

where  $\mathbf{W}$  is the precoding matrix and  $\mathbf{s}$  denoted the vector of raw symbols that satisfies  $\mathbb{E}[\mathbf{s}\mathbf{s}^H] = \mathbf{I}$ . The precoding matrix  $\mathbf{W}$  is obtained with the well-known minimum mean square error (MMSE) design (denoted sometimes as regularized zero-forcing) [55], which can be expressed as

$$\mathbf{W}_{RZF} = \eta \mathbf{H}^H (\mathbf{H}\mathbf{H}^H + \alpha_r \mathbf{I})^{-1} \quad (8)$$

where  $\alpha_r$  is a predefined regularization factor and  $\eta$  is the power allocation factor that, in our case is defined to comply with the total satellite power constraints

$$\eta = \sqrt{\frac{P_{tot}}{\text{Trace}(\mathbf{W}\mathbf{W}^\dagger)}} \quad (9)$$

with  $P_{tot}$  being the total available power at the satellite.

### III. REGULAR BEAM DENSIFICATION

In high demand hot-spot region, beam densification involves increasing the beam pattern gain at edge of the original beam by increasing the number of beams. However, while increasing the number of beams, topological packing and geometrical tractability are a key challenge. Topological packing can be defined as the way the densified beams are placed/packed in the high demand hot-spot region. Furthermore, geometrical tractability is important to keep the operational complexity to minimal.

Inspired by the regular grid beam footprint design of the conventional GEO satellite system, densification can be carried out in a regular fashion. For example, in Fig. 2(b), the high densified N1 beam is replaced by four densified beams such that the four densified beams (D1, D2, D3, and D4) are placed in a regular fashion around N1 and also equidistant from each other. Such design, on one hand have a high topological packing for its regularity and on the other hand, have good geometrical tractability for its low complexity.

Generally, the beam pattern gain at the beam edge of the nondensified beams (N1 to N7) are at  $-3$  to  $-4$  dB from the beam center. Accordingly, any user scheduled at the beam edge will suffer from poor beam pattern gain. Furthermore, the densified beams are not narrow beams or directed beams.

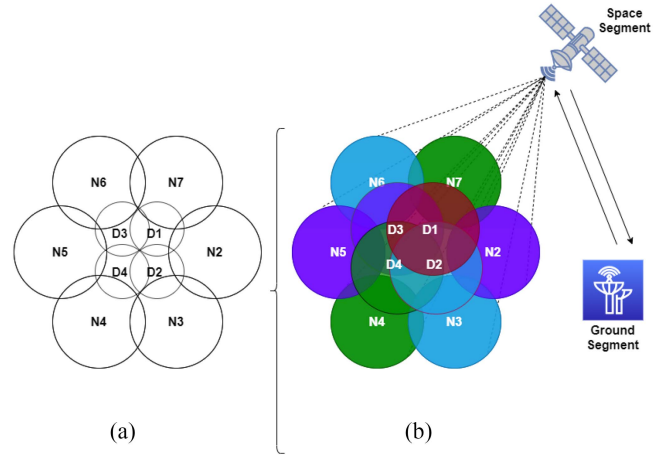


Fig. 3. Regular beam densification in the high demand region by replacing one beam with four beams increases interbeam interference. (a) Beam contour of nondensified beams (N2-N7) represent  $-4$ dB antenna gain from the beam center and beam contour of densified beams (D1-D4) represent  $\approx -2$ dB antenna gain from the beam center. (b) Color coding with four color reuse considering all beam contours to represent  $-4$ dB antenna gain from the beam center.

The densified beams have the same shape and size of the previous nondensified beams. However, from the Fig. 3(a), after beam densification, the scheduled user will now have better beam pattern gain, in comparison to the nondensified case.

Notwithstanding, from Fig. 3(b), four color frequency reuse scheme fails. D3 and N5 (D4 and N4/D2 and N3) are adjacent beams sharing the same frequency resources and hence cause strong interbeam interference. Accordingly, in this work, we first evaluate the regular beam densification with 4CR frequency reuse and later with 16CR frequency reuse.

The use of 16CR frequency reuse is majorly because, when we regularly densify the beams from 4 to 16, it is natural to think that the color coding will also increase from 4 to 16. The reason is because the interference is kept similar while the user gain is better.

For evaluation purposes, this article assumes a GEO satellite located at  $13^\circ$  East with a beam pattern obtained with a dedicated software from the European Space Agency (ESA), which has been programmed to model a defocused phased array-fed reflector (AFR). The reflector size is of 2.2 m and an array diameter of roughly 1.2 m. The antenna array before the reflector is a circular array with  $2\lambda$  spacing and 511 elements. The pattern has been generated assuming a slight radial amplitude tapering of the array elements. ESA kindly provided the beam pattern to the authors to carry out this study.

As noted in Fig. 4, we select 14 beams out of the ESA beam pattern. Beams 3, 6, 7, and 10 are beams with high demand and we call them as parent beams. The shapes and size of the beams in Fig. 4 appears to be different for different beams due to the curvature of the Earth and the map projection. Nevertheless, it should be noted that all the beams have same size and shape.

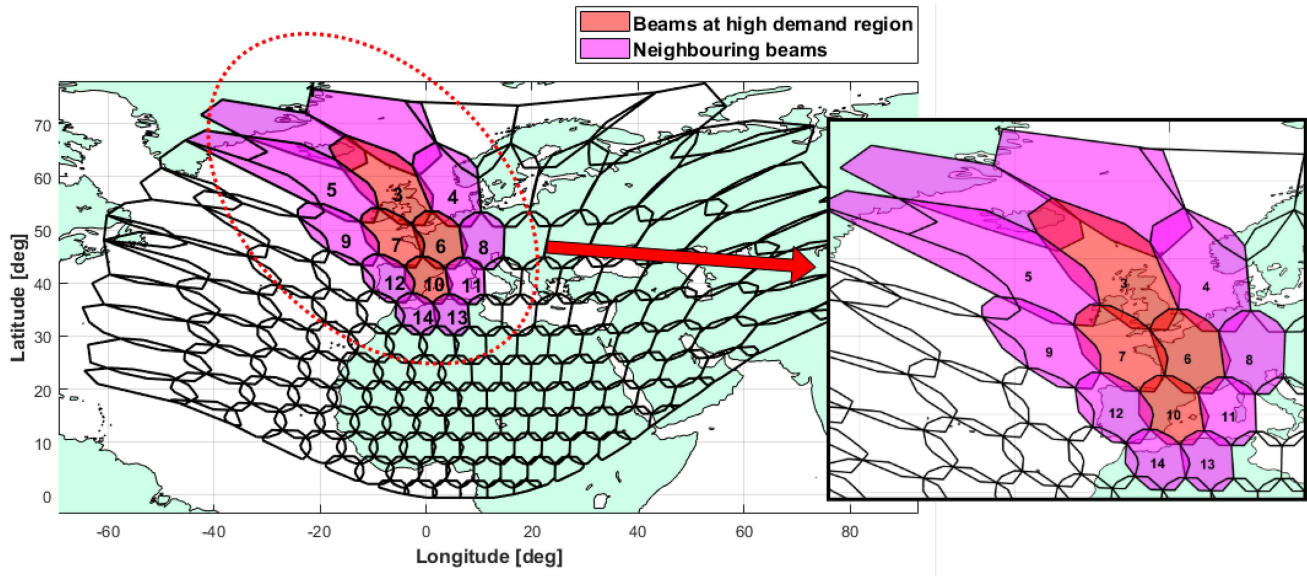


Fig. 4. Beams 3, 7, 6, and 10 are the beams in the center of Europe and with high demand.

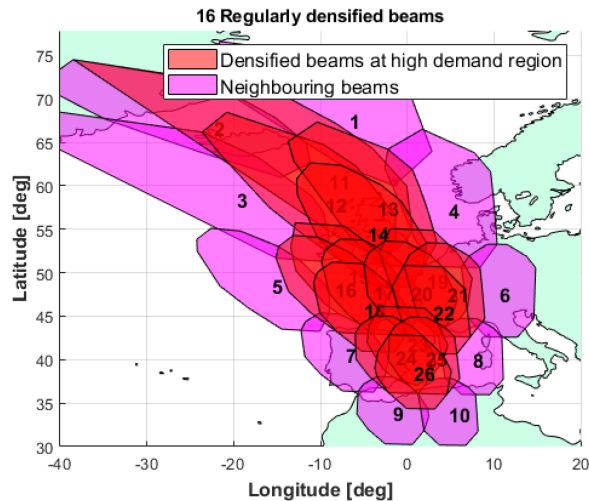


Fig. 5. Regular beam densification, where four beams are replaced by 16 beams.

Fig. 5 provides the regularly densified beam densification, where the four parent beams are replaced by 16 child beams. Furthermore, upon densification of parent beams, the performance of child beams (those beams that replace the parent beams) and the beams around the child beams may be impacted in terms of performance. Therefore, beams around the high-demand area (i.e., the neighboring beams) will be also considered in our analysis.

#### IV. DYNAMIC BEAM DENSIFICATION

The homogeneous beam densification, presented as an example in the previous section, can be advantageous when the demand of hot-spot regions is itself homogeneously distributed. However, when demand also varies unevenly over the high demand region as shown in Fig. 6, it is

beneficial to adapt the beam densification scheme for better demand satisfaction.

Also, in the previous densification example, the demand of the hot-spot region was not considered in determining both the number of beams and beam placement. Hence, for dynamic demand requisites, beam densification can be further enhanced by considering demand as a determining factor to decide the number of beams and beam positions.

##### A. Determining the Number of Beams

Densifying the high demand hot-spot from 4 beams to 16 beams as shown in Section III, was an arbitrary choice. Determining the number of beams for densification is not an easy task, as it comes with the following tradeoff: With same system power and bandwidth, selecting fewer number of beams may not mitigate enough the beam pattern gain loss between users in beam center and users in beam edge, but may reduce the beam overlap between adjacent beams; on the other hand, selecting too many beams may be beneficial in terms of offering good beam pattern gain to all users but may result in higher interbeam interference. Hence, there is a need for effective algorithm to define the number of beams as a function of demand. Accordingly, we define the number of beams as  $K$  and formulate an optimization problem as below

$$\min_K \sum_{k=1}^K (D_k - C_k)^2 \quad (10a)$$

$$s.t. \quad C_k = B_k \times \zeta(\gamma_k) \quad (10b)$$

$$K_{\min} \leq K \leq K_{\max} \quad (10c)$$

where the objective function is to reduce the error between the overall beam demand  $D_k = \sum_{n \in \mathcal{G}^k} d_n$ ,  $\mathcal{G}^k$  denoting the set of users belonging to beam  $k$ , and beam offered capacity



$C_k$ . In unicast systems,  $C_k = C_n, B_k = B_n$  and  $\zeta(\gamma_k) = \zeta(\gamma_n)$  and is defined in (6).

However, by its virtue, the objective function chooses highest number of beams that best satisfy the beam demand. Furthermore, the constraint having interference signal in the denominator limits the choice of the number of beams to a realistic value. Nevertheless, the problem (10a) is NP hard problem because of the interference signal in the denominator of the constraint (as it is a function of the number of beams). Hence, we make use of cluster analysis [56], [57].

In cluster analysis, increasing the number of clusters will reduce the error in user clustering. Accordingly, when we consider each cluster element as a cluster, we can obtain zero clustering error, which is not an ideal or practical approach as such huge number of beams will have strong interbeam interference. Hence, the decision of  $K$  is a balance between the highest compression of the cluster elements using a single cluster, and the highest efficiency by assigning each user to its own cluster.

Authors of [58], [59], [60] have focused on finding the ideal number of clusters for a given dataset. In particular, variance ratio criterion (VRC) or Calinski–Harabasz (CH) index is used, i.e., the ratio of the sum of between-clusters dispersion and of intercluster dispersion for all clusters. The higher the CH index, the better the clustering.

However, the CH index does not assign weights to its cluster point and, hence, will not be an ideal scheme for demand satisfaction. Accordingly, to adapt the CH index to our particular problem (10a), we define spatially distributed demand grid of  $J$  points with  $d_j$  indicating the demand of the  $j$ th grid point. Then, we define beam demand as  $D_k = \sum_{j=1}^J d_j$ . Furthermore, we define the overall system demand defined as  $D_{sys} = \sum_{k=1}^K D_k$ .

Later, we update the regular CH index to account for the users' demand in the form of weighted Calinski–Harabasz (WCH) index, defined by

$$WCH(K) = \frac{\sum_{k=1}^K n_k \|\mathfrak{M}_k - \mathfrak{M}\|^2 (J - K)}{\sum_{k=1}^K \sum_{j \in \mathcal{G}_j} \|\Theta_j - \mathfrak{M}_k\|^2 \times \frac{D_k}{D_{sys}} (K - 1)} \quad (11)$$

where  $n_k$  is the number of spatially distributed demand grid points in a cluster  $k$ ,  $\mathfrak{M}_k$  is the centroid of the cluster  $k$ ,  $\mathfrak{M}$  is the geographical mean of the spatially distributed demand grid points,  $\|\mathfrak{M}_k - \mathfrak{M}\|$  is the Euclidean distance between the two vectors, and  $\Theta_j$  is the position of the spatially distributed demand grid point  $j$ .

The proposed procedure is a good suboptimal solution to determine the number of beam based on (11) and is given in Procedure 1, where high demand hot-spot region is divided into different values of  $K$  clusters using weighted  $k$ -means clustering [48] and then WCH index for the  $K$  cluster is computed. Any value for  $K$  that maximizes CH index is considered as good number of cluster.

The complexity of the procedure 1 is  $\mathcal{O}(K_e w n k d)$ , where  $K_e$  is the number of  $k$  values tested, for  $w$  iterations,  $k$  centers, and  $n$  points in  $d$  dimensions.

---

**Algorithm 1:** Evaluate Cluster Size Using WCH Criteria.

---

**Input** :  $X, K_{min}, K_{max}$

**Output** :  $K$

**for**  $K = K_{min}$  **to**  $K_{max}$  **do**

1. Obtain  $K$  clusters using weighted  $k$ -means algorithm [48].

2. Evaluate  $WCH$  index for the  $K$  clusters using,

$$WCH(K) = \frac{\sum_{k=1}^K n_k \|\mathfrak{M}_k - \mathfrak{M}\|^2 (J - K)}{\sum_{k=1}^K \sum_{j \in \mathcal{G}_j} \|\Theta_j - \mathfrak{M}_k\|^2 \times \frac{D_k}{D_{sys}} (K - 1)}$$

**end**

3. Evaluate ideal value of  $K$  using  $K = \max(CH(k))$

---

Apparently, from [48], it is evident that better demand satisfaction is obtained when the total demand is more evenly distributed among all the beams. Hence, we modify the popular Calinski and Harabasz test by replacing the step 1 of the test, i.e., classical  $k$ -means clustering to weighted- $k$  means clustering mentioned in [48]. This test on one hand evaluates for high “between-clusters variance” and low “intercluster variance” along with evenly distributing demand across all the beams. Hence, the proposed technique is a good suboptimal solution in finding the “number of beams.”

As illustrative example, we have executed Procedure 1 with  $K_{min} = 4$  and  $K_{max} = 16$  and considering the high-demand area indicated in Fig. 7, which was originally covered in Fig. 4 by beams 3, 6, 7, and 10. The results are shown in Fig. 8, where it can be observed that  $K = 13$  maximizes the WCH value and, hence, is considered as good suboptimal number of beams for this particular example.

## B. Determining Beam Placement

After determining the number of beams for densification, the next crucial step is to determine the beam center position. In regular densification, the densified child beams were positioned regularly equidistant from each other. However, in this section, by considering the demand requisites, we propose a novel beam positioning scheme that provides the most demand satisfaction.

Once the number of beams is identified, the reader may note that a possible beam placement solution can be obtained simply by executing step 1 of Procedure 1.

In this section, we provide a generalization of Step 1, by considering a grid of possible beam center locations different than the grid points considered by the weighted  $K$ -means proposed in [28]. In particular, assuming that the user-to-beam assignment is extracted from Procedure 1, we can address the beam center positioning problem independently for each beam.<sup>2</sup>

Furthermore, the user distribution on the surface of the earth is nonuniform. Accordingly, in Section IV-A, we had defined spatially distributed demand grid of  $J$  points with  $d_j$  indicating the demand of the  $j$ th grid point. Nevertheless,

<sup>2</sup>Note that the interference issues will be addressed in the frequency planning section

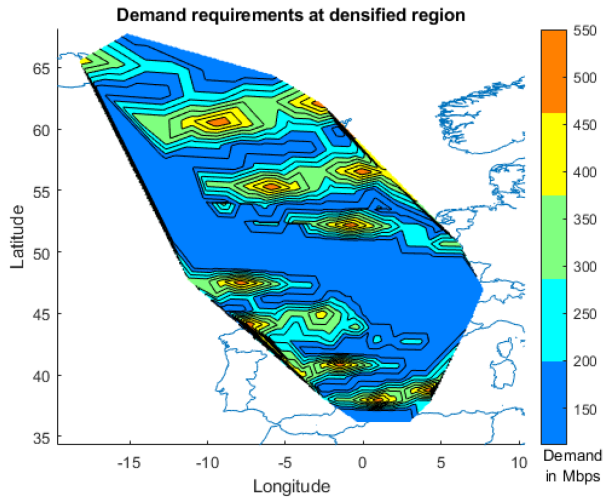


Fig. 6. Demand driven beam densification, where the four beams are replaced by 13 beams.

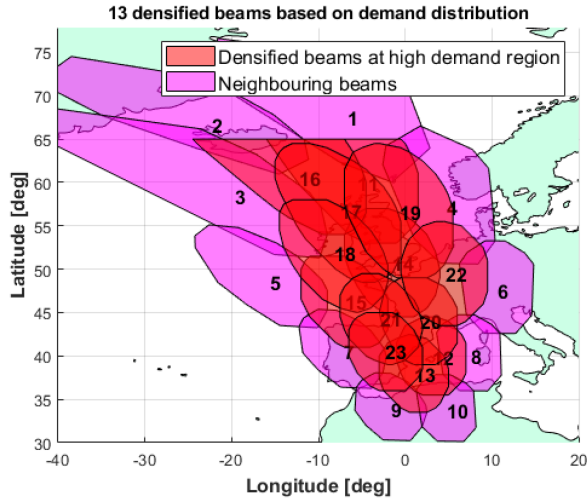


Fig. 7. Uneven demand distribution at the densified region.

to find the demand-based beam position, we define another grid called beam grid of  $I$  points with  $\theta_i$  being the beam center. Obviously, the easier choice is to have both demand grid and beam grid of same dimension. However, the granularity of beam grid depends on antenna constraints and the granularity of the demand grid is governed by the demand requisites. Hence, we define them separately using  $i$  and  $j$ .

Our intension was to obtain maximum demand satisfaction  $\forall j$  in every beam  $k$ . Hence in coherence with [48], we find  $\theta_i$  as below

$$\min_{\theta_i} \sum_{j=1}^J d_j - c_{j,\theta_i} \quad (12)$$

and further place the beam  $k$  at position of  $\theta_i$ .

Accordingly, Fig. 7 provides the demand driven beam densification, where the four beams are replaced by 13 beams for the demand profile shown in Fig. 7.

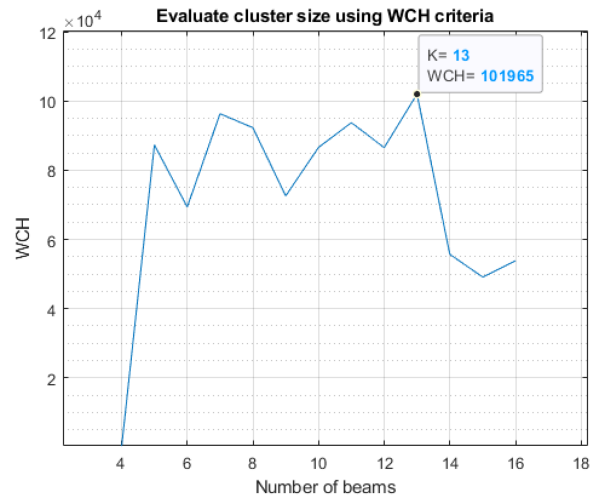


Fig. 8. Finding the number of beams.

## V. DYNAMIC FREQUENCY REUSING SCHEME

In conventional regular grid of fixed spot beams, four-color frequency reuse coding is used for spectrum management, which divides the total available bandwidth into four subfrequencies and avoids interference between adjacent beams by not allocating the same subfrequency to any adjacent beams. However, recent studies in demand driven dynamic beam footprint design and furthermore, beam densification discussed in this article has given raise to nonregular and overlapping beams. Hence, in such scenarios, the current four-color coding (spectral reuse of four noninterfering frequency resources) will not be able to preclude the interbeam interference and consequently affects the capacity performance of the system [7], [8], [9], [10], [11].

Hence, in irregular and overlapping beams, we must increase color coding factor to reduce the interbeam interference. However, having high number of colors will result in lower bandwidth availability per beam and reduces the spectral efficiency. On the other hand, having fewer colors will result in higher interbeam interference. Hence, in this article, in order to color code with highest spectral efficiency and least interbeam interference in irregular and overlapped beam footprints, we focus on graph theory based color coding scheme, which on one hand improves the spectral efficiency by choosing least number of colors and on the other hand, assigns colors to beams for least interbeam interference.

### A. Graph Construction

Upon densification, to reduce interbeam interference, we can use color code of higher order. For example, in Fig. 3(b), upon densification, one beam is densified by four beams, 4CR frequency reuse will not be efficient to reduce the interbeam interference and accordingly, beams can be coded with seven colors such that no two adjacent beams have the same color.

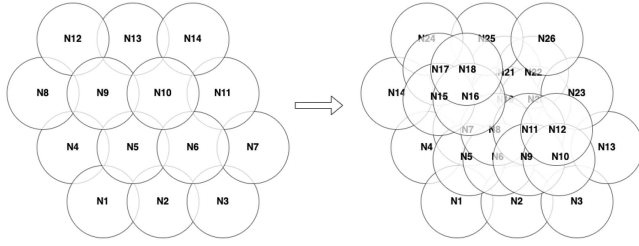


Fig. 9. Nondensified 14 beams to the left and densified 26 beams to the right.

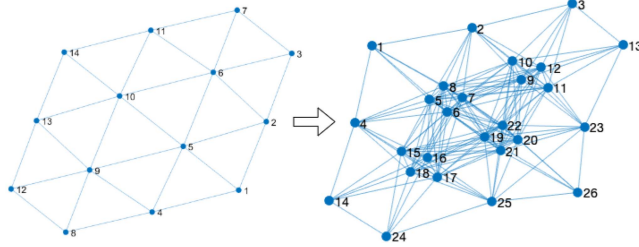


Fig. 10. Graph representing nondensified 14 beams on the left and graph representing densified 26 beams on the right.

However, increasing the number of colors will decrease the offered channel bandwidth and consequently affects the offered capacity. Hence, it is important to color code with minimum number of colors. In this case of Fig. 3(b), we could compute the colors manually because the number of colors and the beams are few. However, as shown in Fig. 9, when multiple adjacent beams are densified, color coding is not a straightforward approach. Hence, we propose the use of graph theory to compute the optimal number of colors and coloring strategy.

The 14 beams of Fig. 9 can be represented using graph theory by the graph  $G = (V, e)$ , where nodes  $V$  represent the beams and edges  $e$  connect to interfering beams. The graph  $G$  is as shown in left part of Fig. 10. However, upon densification, the number of nodes increase from 14 to 26, where four beams are replaced by 16 beams where the traffic demand is high. The densified graph  $G$  is as shown in right part of Fig. 10.

However, to construct such graphs, it is important to define beam adjacency. Accordingly in this work, we define beam adjacency using Euclidean distance. We consider a satellite system with  $K$  number of beams and formulate a logical adjacency matrix  $\mathbf{A}$ , which is of dimension  $K \times K$ . The entries of  $\mathbf{A}$  define the adjacency between all  $K$  beams of the system. Accordingly each element  $a_{(i,j)}$  of the  $K \times K$  matrix is set to binary 1 if beam  $i$  is adjacent to beam  $j$ , and binary 0 otherwise. Furthermore, we use Euclidean distance to define adjacency between the beams such that each element  $a_{(i,j)}$  is defined as

$$a_{(i,j)} = \begin{cases} 1, & \text{if } d(i, j) \leq d_{\min} \\ 0, & \text{otherwise} \end{cases} \quad (13)$$

where  $d(i, j)$  is the distance between any two beams  $i$  and  $j$ . Hence, if the distance between two beams is less or equal

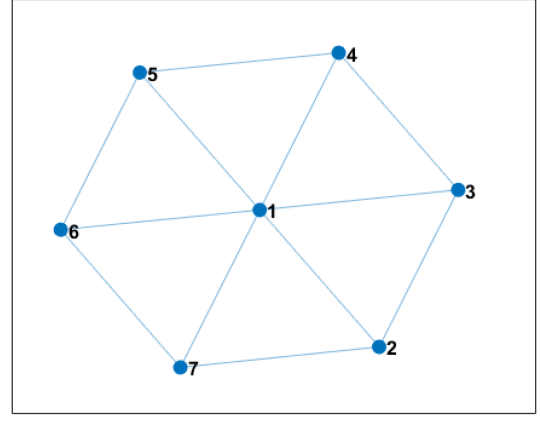


Fig. 11. Graph obtained from the adjacency matrix to the beams in Fig. 1.

to  $d_{\min}$ , the beams are said to be adjacent to each other. The minimum distance  $d_{\min}$  is chosen wisely with reference to traditional spot beam footprint with a hexagonal grid layout.

We define  $G = \{V, E\}$  as undirected graph that represents the satellite network, where  $V = \{v_1, v_2, \dots, v_k\}$ , is set of all nodes in  $G$ . Any edge  $e(k, k')$  between two nodes  $v_k$  and  $v_{k'}$  exist, when beams  $k$  and  $k'$  are adjacent to each other and can cause potential interbeam interference.

The set of all such edges in  $G$  is denoted as  $E$ .  $G = \{V, E\}$  can easily be constructed using logical adjacency matrix  $\mathbf{A}$ . The entries  $a_{(i,j)}$  in  $\mathbf{A}$  specify the network of connections (edges) between the nodes of the graph. The location of each nonzero entry in  $\mathbf{A}$  specifies an edge between two nodes.

For example, logical adjacency matrix  $\mathbf{A}$  for the beams mentioned in Fig. 2(b) is as below:

$$\begin{matrix} & N1 & N2 & N3 & N4 & N5 & N6 & N7 \\ \begin{pmatrix} 0 & 1 & 1 & 1 & 1 & 1 & 1 \\ 1 & 0 & 1 & 0 & 0 & 0 & 1 \\ 1 & 1 & 0 & 1 & 0 & 0 & 0 \\ 1 & 0 & 1 & 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 1 & 0 & 1 & 0 \\ 1 & 0 & 0 & 0 & 1 & 0 & 1 \\ 1 & 1 & 0 & 0 & 0 & 1 & 0 \end{pmatrix} & N1 \\ & N2 \\ & N3 \\ & N4 \\ & N5 \\ & N6 \\ & N7 \end{matrix}$$

and the graph that represents the logical adjacency matrix  $\mathbf{A}$  is shown in Fig. 11, where the nodes represent the beams and the edges represent the adjacency between the beams.

## B. Optimized Color Coding

In order to avoid interbeam interference, we need to color the nodes of the graph  $G$ , such that no two adjacent nodes have the same color. Also, to reuse frequencies, we need to find the minimum chromatic number  $\chi(G)$ , which is smallest number of colors needed to color  $G$ . Accordingly, we formulate an optimization problem as

$$\min_{\{V_1, V_2, \dots, V_{\chi(G)}\}} \chi(G); \quad (14a)$$

$$s.t. \quad V_c = \{v_k : e(k, k') = 0 \quad \forall k, k'\} \quad (14b)$$

$$V_{c1} \cap V_{c2} = \emptyset \quad \forall c1 \neq c2 \quad (14c)$$



TABLE I  
Simulation Parameters

Satellite longitude	13 degree East (GEO)
Beam radiation pattern	Provided by ESA in CGD [64] project
User link bandwidth, $B$	500 MHz
Roll-off factor	20%
Terminal antenna diameter	0.6 m
Terminal antenna efficiency	60%
DL wavelength	0.01538 m

$$\bigcup_{c=1}^{\chi(G)} V_c = V \quad (14d)$$

$$1 \leq \chi(G) \leq \chi(G)_{\max} \quad (14e)$$

where the objective function is to minimize the chromatic number (number of colors) and  $V_c$  is the set of all nodes of same color. The first constraint ensures that no two adjacent nodes of graph  $G$  will have same color. The second constraint is to ensure that no vertex is assigned with two different colors. The third constraint is to ensure the union of subsets is the full set of vertices and hence all the vertices are colored. The last constraint sets an upper bound for the number of colors required.

In order to solve the problem (14a), we define binary variables  $x_{v_i c}$  such that when a vertex  $v_i$  is assigned a color  $c$ ,  $x_{v_i c}$  takes the value 1; otherwise,  $x_{v_i c}$  takes the value 0. Besides, binary variable  $y_c = 1$  indicates that color  $c$  has been used, i.e., set  $V_c$  contains at least one vertex; otherwise,  $V_c$  is empty and  $y_c = 0$ , indicating that color  $k$  was not required. Hence, we can reformulate the (14a) as

$$\min \sum_{c=1}^{\chi(G)_{\max}} y_c \quad (15a)$$

$$s.t. \quad y_c \in \{0, 1\}; \quad c = 1, \dots, \chi(G)_{\max} \quad (15b)$$

$$\sum_{c=1}^{\chi(G)_{\max}} x_{v_i c} = 1 \quad \forall v_i \in V \quad (15c)$$

$$x_{v_i c} \in \{0, 1\} \quad \forall v_i \in V \quad (15d)$$

$$x_{v_i c} + x_{v_j c} \leq y_c \quad \forall \{v_i, v_j\} \in E. \quad (15e)$$

The first and the third constraint in this formulation indicates that  $y_c$  and  $x_{v_i c}$  are binary variables. The second constraint ensures that exactly one color is assigned to each vertex. The last constraint connects variables  $x$  and  $y$ , allowing coloring with color  $c$  only if  $y_c = 1$ , and forbids the endpoints of any edge  $\{i, j\}$ , vertices  $i$  and  $j$ , from having the same color simultaneously.

The problem was solved by branch-and-bound method using PySCIPOpt 4.2.0 [61], a Python interface for Solving Constraint Integer Programs (SCIP) [62].

## VI. SIMULATION PARAMETERS AND RESULTS

The considered antenna pattern (kindly provided by the European Space Agency, ESA) corresponds to a GEO 13°E satellite operating at the Ka exclusive band 19.7 to 20.2 GHz. A summary of simulation parameters are shown in Table I. For simulation, we consider unicast scheduling

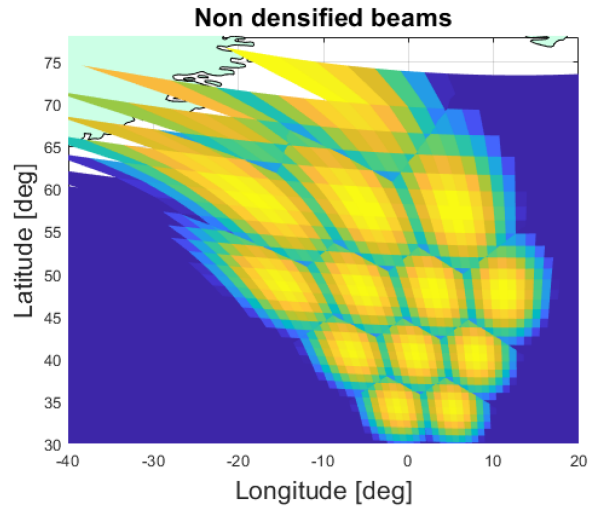


Fig. 12. Antenna gain of nondensified beams.

with  $K$  users scheduled in  $K$  beams, where each of the user position is randomly selected. Accordingly, before densification, we schedule four users in the high demand region using four beams. After densification with regularly densified beams, we use 16 beams to schedule 16 users in the same high demand region. Similarly, when we densify with demand-based densification, we use 13 beams to schedule 13 users in the same high demand region. Also, for reliable result evaluation, we perform Monte Carlo simulations for 100 iterations and consider mean values for our result analysis.

The rest of this article is organized as follows. First, we assess the beam patterns in Section VI-A, then evaluate the impact of densification with four color frequency reuse in Section VI-B, then in Section VI-C we study the impact of densification with full frequency reuse and precoding. Later, we study the impact of densification with dynamic frequency reuse in Section VI-D. In Section VI-E, we analyze the impact of densification on neighboring beam. Finally, Section VII concludes this article.

### A. Beam Pattern Analysis

The beam pattern for four nondensified beams along with ten neighboring beams are shown in Fig. 12. Furthermore, the gain values in dBi are shown using the color bar in Fig. 14 for all the beam pattern plots. Evidently, there are more regions with lower values of beam pattern gain (near the beam borders). Any possible high demand users in this region are expected to experience poor SINR. Similarly, beam pattern for 16 regularly densified beams and 13 demand driven densified beams along with the neighboring beams are shown in Figs. 13 and 14, respectively. Evidently, in both the densified beam patterns, most of the regions show higher values of beam pattern gain. Hence, densification improves the beam pattern gain values.

Furthermore, Fig. 15 provides the CDF plot of the beam pattern gain. Evidently, the beam pattern gain values have improved considerably (worst case gain of approximately

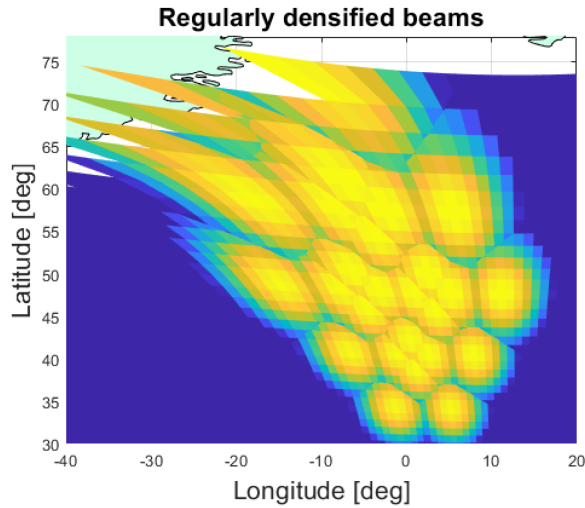


Fig. 13. Improved antenna gain by regularly densified 16 beams.

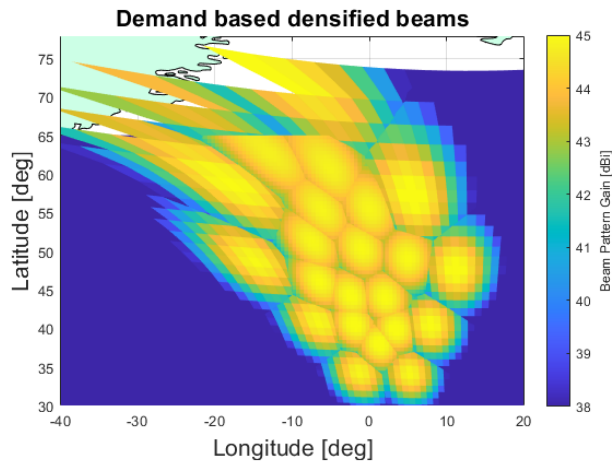


Fig. 14. Improved antenna gain by demand driven densified 13 beams.

TABLE II

Power and Bandwidth Allocation for 4CR Frequency Reuse

Beam Pattern	Power per beam	Bandwidth per beam
Non-densified beam	41.67 W	250 MHz
Regularly densified beam	10.4175 W	250 MHz
Demand-driven densified beam	12.8215 W	250 MHz

2.5 dB) after densification. Furthermore, regularly densified beam pattern shows slightly better performance against the demand based densification.

#### B. Impact of Densification With Four Color Frequency Reuse

Table II provides the bandwidth and power allocation for 4CR frequency analysis. For fair comparison, we consider equal power and bandwidth distribution before and after densification. At target hot-spot area, the satellite total radiated power is considered as 166.67 W. This power is shared between four beams in the case of nondensified scenario and furthermore, it is shared between 16 beams in the regularly densified case and between 13 beams in the case of demand-driven densified case. Also, for 4CR

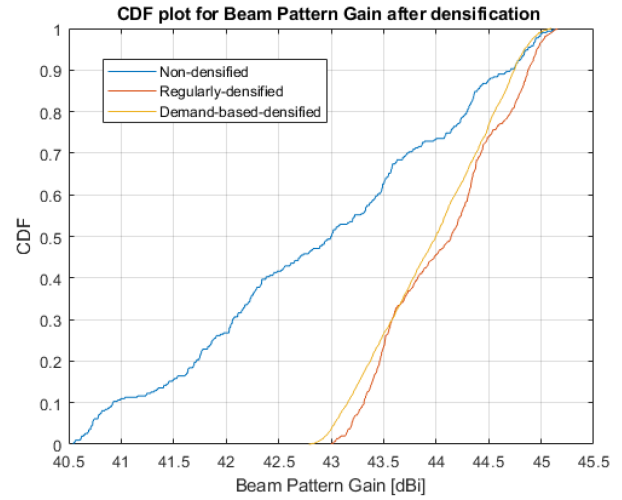


Fig. 15. Beam pattern gain after densification.

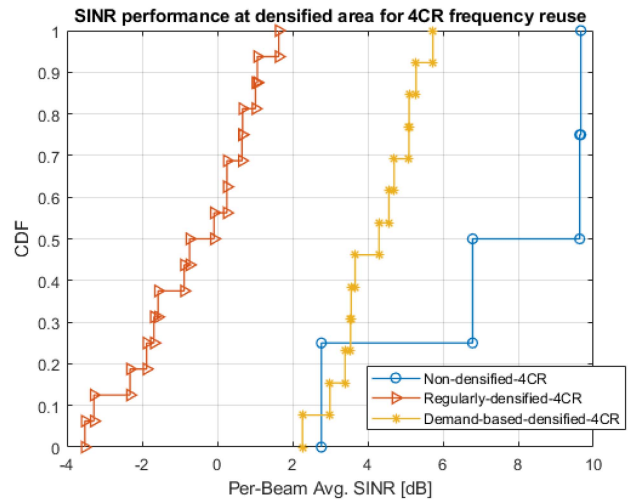


Fig. 16. SINR performance at densified area for 4CR frequency reuse.

frequency reuse, the total bandwidth of 500 MHz is divided into two, considering that the additional two colors could be obtained from polarization.

Fig. 16 shows the CDF plots of the per-beam average SINR and Fig. 17 shows the average user SINR for 4CR frequency reuse. The SINR performance degrades from nondensified case to demand-driven densified case and further decreases when the densification is carried with 16 regular beams. Evidently, SINR decreases with the increase in the number of beams. This is majorly because higher number of beams will increase the interference signal.

Fig. 18 shows the per beam average capacity and Fig. 19 shows the mean capacity for 4CR frequency reuse. As the bandwidth per beam do not change upon densification with 4CR frequency reuse, the DVB-S2X defined capacity results are inline with the previously discussed SINR performance.

Due to reduced SINR with the increase in the number of beams, the densification perform poorly in terms of offered per beam average capacity by using same 4CR frequency reuse. Hence, in the following sections, we evaluate the

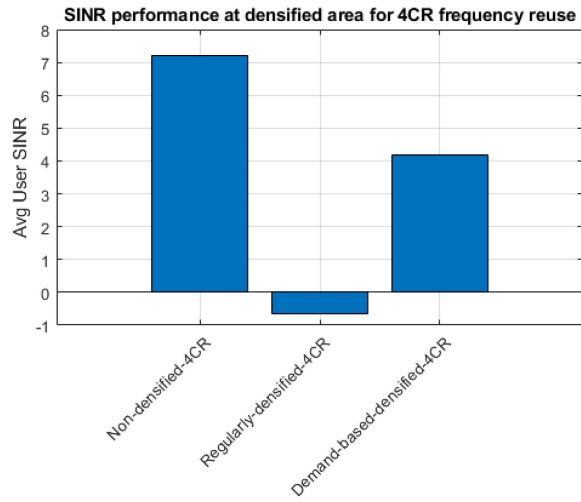


Fig. 17. SINR performance at densified area for 4CR frequency reuse.

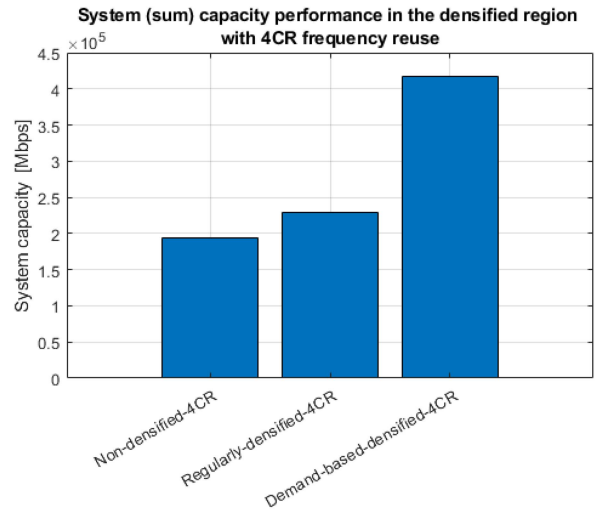


Fig. 20. System (sum) capacity performance based on DVB-S2X at densified area for 4CR frequency reuse.

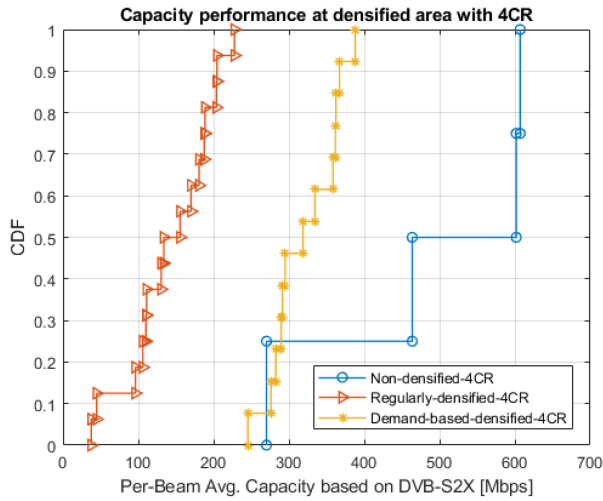


Fig. 18. Capacity performance based on DVB-S2X at densified area for 4CR frequency reuse.

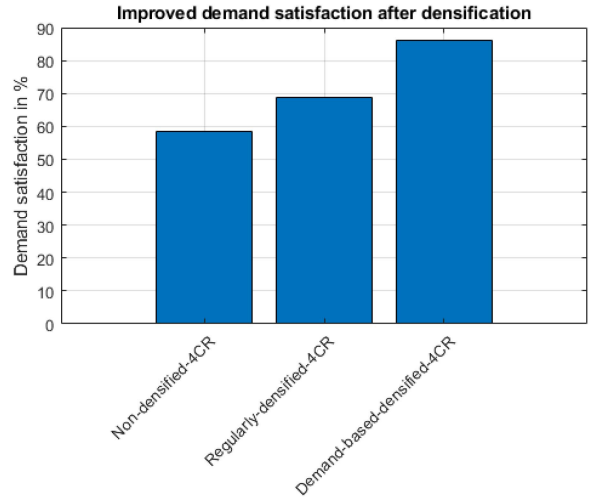


Fig. 21. Demand satisfaction with 4CR frequency reuse.

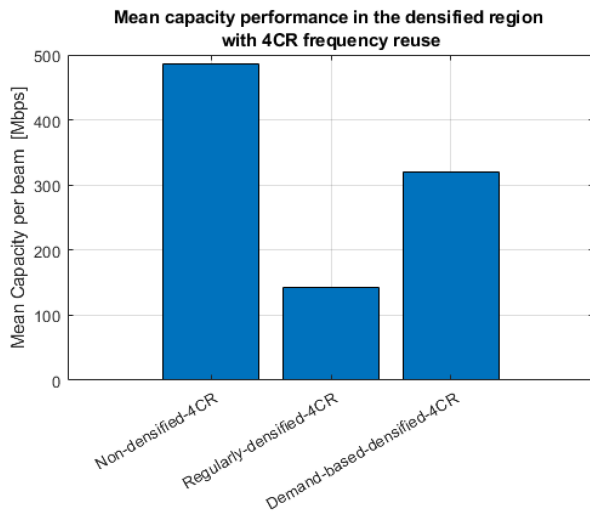


Fig. 19. Mean capacity performance based on DVB-S2X at densified area for 4CR frequency reuse.

performance of densification with other frequency reuse factors. However, from Fig. 20, the system capacity has increased considerably after densification, especially for demand driven densification.

Furthermore, we defined demand of beam  $k$  as  $D_k = \sum_{n \in k} d_n$ , where  $d_n$  is the demand of a user  $n$  served by beam  $k$ . The offered capacity per beam is denoted by  $C_k = \sum_{n \in k} c_n$ , where  $c_n$  is the offered capacity to a user  $n$  served by beam  $k$ . Then, we define mean demand satisfaction of beam  $k$  in percentage as  $DS_k = C_k/D_k \times 100$ , such that if  $DS_k > 100$ , then  $DS_k = 100 \forall k$ . Furthermore, we define the system demand satisfaction as  $DS_{sys} = \sum_{k=1}^K DS_k$ . Fig. 21 shows the demand satisfaction before and after densification while using 4CR frequency reuse scheme. Evidently, it can be seen that the mean beam demand satisfaction has increased upon regular densification and further increased by demand driven densification.



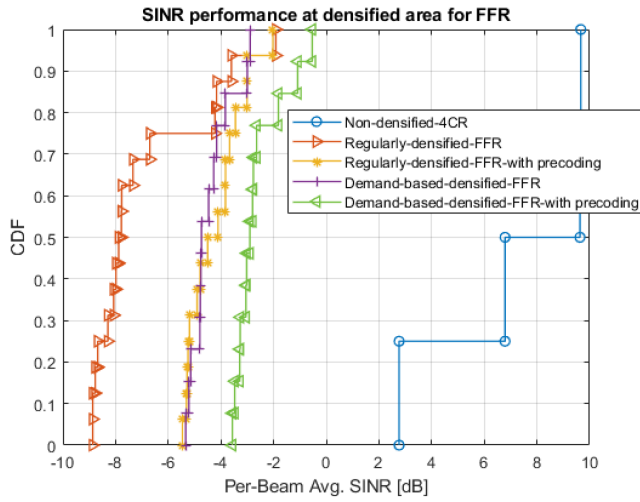


Fig. 22. SINR performance of precoding with FFR.

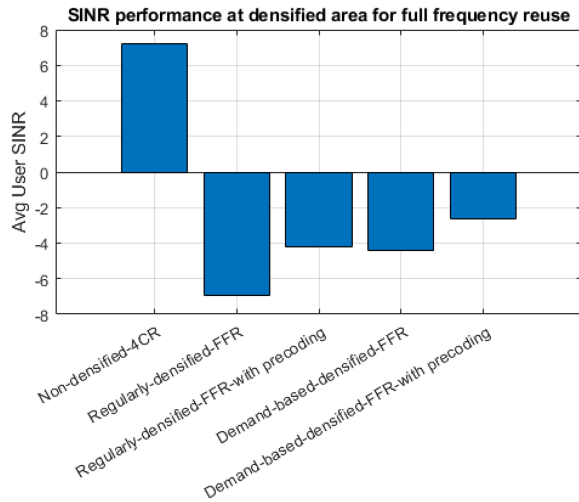


Fig. 23. SINR performance at densified area for full frequency reuse.

### C. Impact of Densification With Full Frequency Reuse and Precoding

In this section, we evaluate the benefits of precoding (minimum mean square error (MMSE) precoder [55]) with beam densification. The major advantage of precoding is that the total available system bandwidth (500 MHz) is now available for all beams. Furthermore, as we had exploited the benefits of polarization in other cases, for fair comparison, the available bandwidth per beam while using FFR is 1000 MHz. The power allocation remains the same as described in Table II.

Fig. 22 shows the CDF plots of the per-beam average SINR and Fig. 23 shows the average user SINR for full frequency reuse. Both regular and demand-based densification using FFR without precoding perform very poor. Furthermore, demand driven densification using FFR with precoding has performed slightly better than regular densification using FFR with precoding. Conclusively, it can be inferred that using full frequency reuse schemes, the SINR can degrade considerably after densification. Such poor

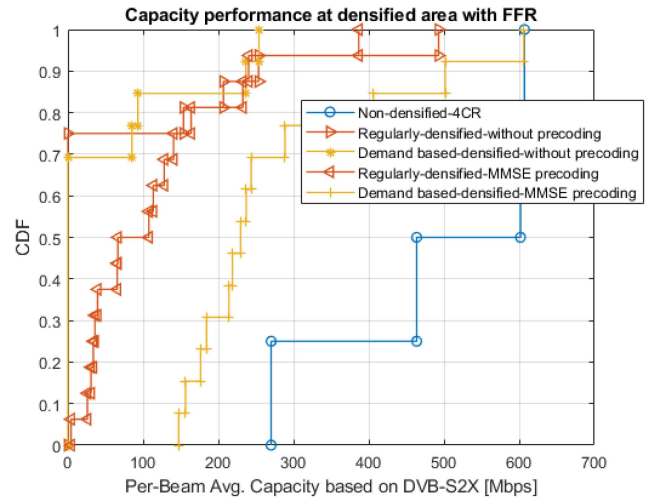


Fig. 24. Capacity performance based on DVB-S2X at densified area for full frequency reuse.

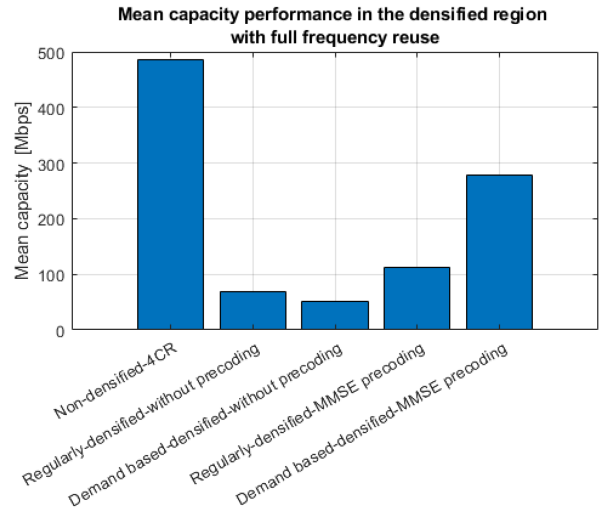


Fig. 25. Mean capacity performance based on DVB-S2X at densified area for full frequency reuse.

performance in SINR is due to strong levels of interference created by increased number of beams after densification.

Fig. 24 shows the per beam average capacity and Fig. 25 shows the mean capacity for full frequency reuse. When precoding is not considered, in both regular and demand driven densification, we notice that the performance is very poor due to poor SINR performance and the system fails to satisfy the minimum link budget requirements. On the other hand, when precoding is considered with densification, the DVB-S2X defined capacity is relatively good even with poor SINR performance, as the bandwidth per beam availability is very high for FFR. Furthermore, from Fig. 26, system capacity increases after densification, especially for demand-based densification.

Fig. 27 shows the demand satisfaction before and after densification while using FFR scheme. We consider only the results with precoding as the system performs poor without precoding. Evidently, it can be seen that the mean beam demand satisfaction does not gain much with densification.

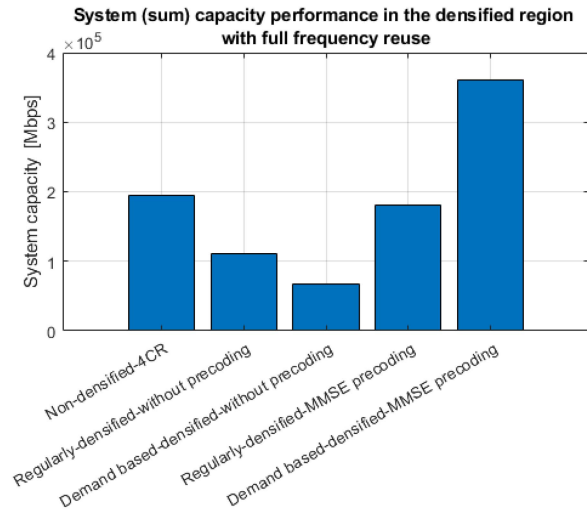


Fig. 26. System (sum) capacity performance based on DVB-S2X at densified area for full frequency reuse.

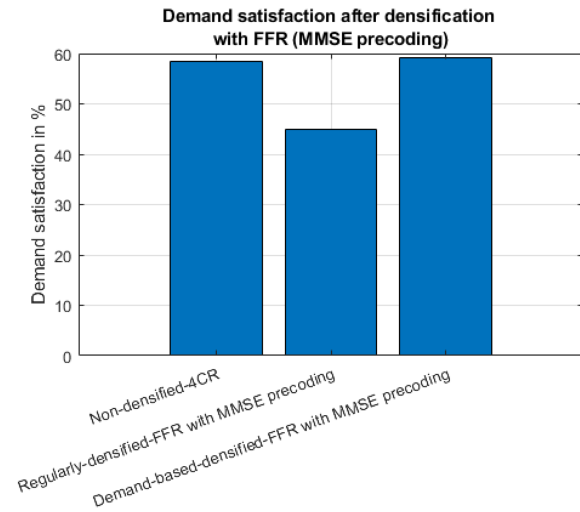


Fig. 27. Demand satisfaction with full frequency reuse.

TABLE III  
Power and Bandwidth Allocation for Dynamic Frequency Reuse

Beam Pattern	Power per beam	Bandwidth per beam
Non-densified beam	41.67 W	250 MHz
Regularly densified beam	10.4175 W	62.5 MHz
Demand-driven densified beam	12.8215 W	111.11 MHz

#### D. Impact of Densification With Dynamic Frequency Reuse

In this section, we evaluate the performance of other dynamic color frequency reuse schemes. For regular beam densification, we use 16CR color frequency reuse scheme as we expect its interference levels to be similar to 4CR nondensified beams. Furthermore, for demand driven beam densification, we use optimized color coding proposed in Section V. The power allocation and bandwidth available for dynamic frequency reuse is shown in Table III.

Fig. 28 shows the CDF plots of the per-beam average SINR and Fig. 29 shows the average user SINR for dynamic

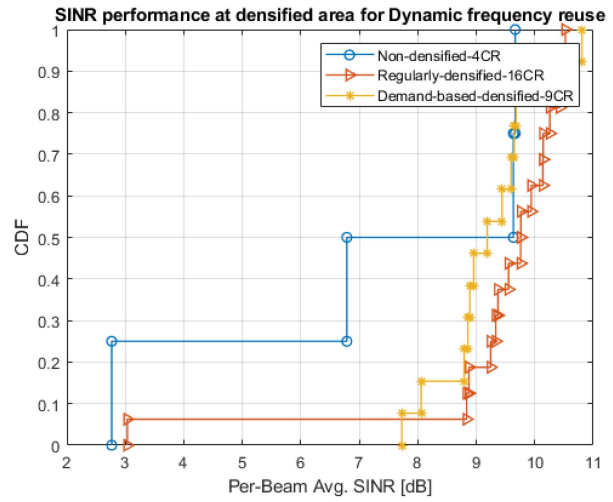


Fig. 28. SINR performance at densified area for DFR frequency reuse.

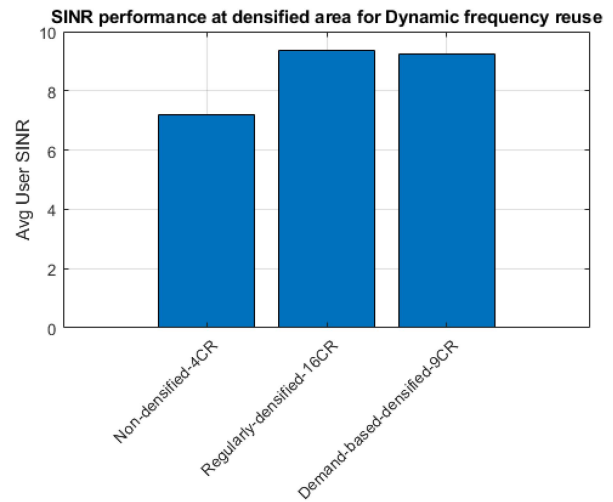


Fig. 29. SINR performance at densified area for DFR frequency reuse.

frequency reuse. Regular densification with 16 CR has resulted to gain high in SINR. Furthermore, demand driven densification with dynamic color coding (9CR frequency reuse) has performed slightly poor than demand driven densification with 16CR frequency reuse. However, the worst case SINR of demand driven densification is better in comparison to regular densification with 16 CR frequency reuse. Conclusively, it can be inferred that using higher order frequency reuse schemes, the SINR can improve considerably after densification.

Fig. 30 shows the per beam average capacity and Fig. 31 shows the mean capacity for dynamic frequency reuse. With the increase in colors, the available bandwidth decreases and, hence, DVB-S2X defined capacity reduces for 9CR frequency reuse and further reduces for 16CR frequency reuse. However, from Fig. 32, the system capacity is still better after densification, especially for demand-based densification with 9CR frequency reuse.

The demand satisfaction for dynamic frequency reuse is shown in Fig. 33. Evidently, the demand satisfaction

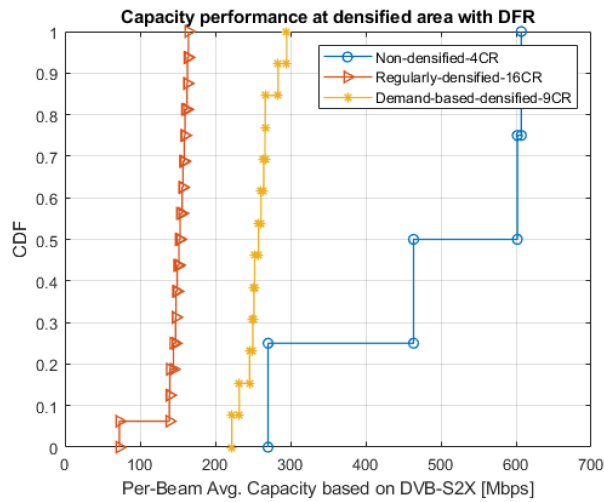


Fig. 30. Capacity performance based on DVB-S2X at densified area for Dynamic frequency reuse.

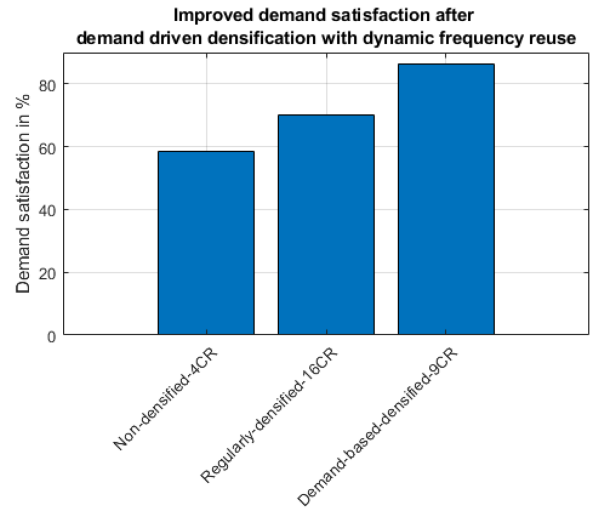


Fig. 33. Demand satisfaction with dynamic frequency reuse.

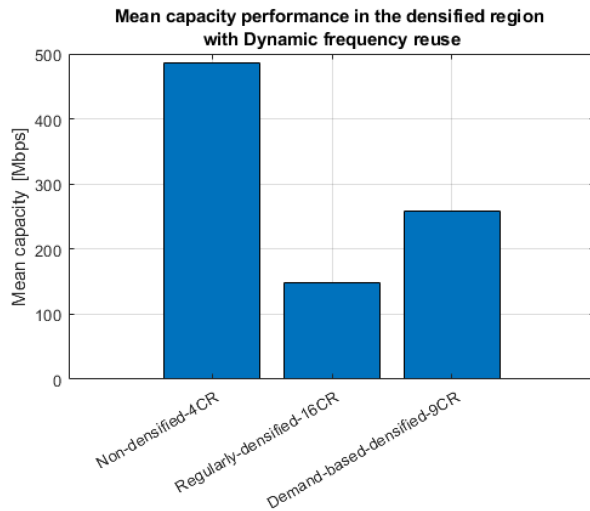


Fig. 31. Mean capacity performance based on DVB-S2X at densified area for dynamic frequency reuse.

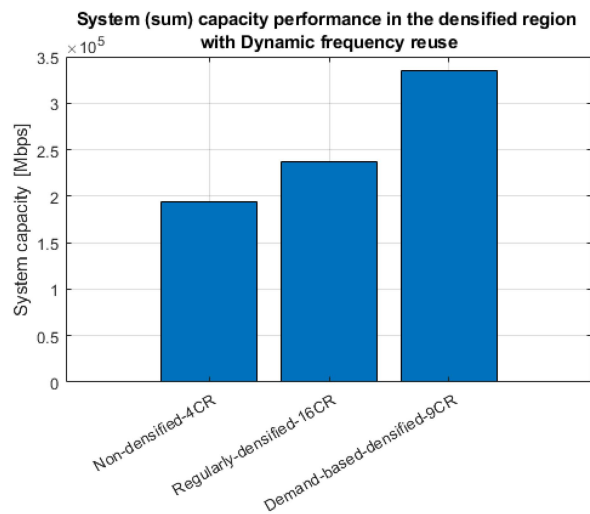


Fig. 32. System (sum) capacity performance based on DVB-S2X at densified area for dynamic frequency reuse.

has increased considerably after densification, especially for demand driven densification with 9CR reuse.

#### E. Comparative Analysis of the Proposed Demand Driven Densification With the Benchmark: Demand Driven Voronoi Partitioning Algorithm [64]

As part of our effort to enhance the authenticity and relevance of our proposed scheme, we also compared it to a benchmark scheme defined in [64], which provides multibeam coverage of a region of Interest (ROI) in a multi-beam satellite communication system. This benchmark is also designed to account for nonuniform traffic demand density over the ROI and serves as an adequate benchmark to compare our proposed scheme.

The benchmark [64] did not optimize the number of beams. Hence, we tested the approach proposed in [64] for the beam design assuming that the number of beams are four, which is in-line with the number of beams of the conventional beam pattern at the ROI. Accordingly, we partitioned the Region of Interest (ROI) into four nonoverlapping cells (V1-V4) using the Voronoi diagram in such a way that the traffic demand associated with the cells is substantially uniform. As stated in [64], we iteratively adjusted the positions of the center of the Voronoi cell and evaluated the uniformity of distribution and is shown in the Fig. 34. In the following step, we generated four satellite beams to cover specific cells, and computed the capacity offered using a methodology similar to that used in the preceding sections. To minimize interference levels between beams that share the same frequency band and polarization state, we adopted the frequency band/polarization state pair allocation approach recommended in [64].

The results depicted in Fig. 35 indicate that the per-beam average capacity of the proposed scheme is lower than that of the benchmark. This is not unexpected, given that the benchmark benefits from greater bandwidth availability owing to lower frequency and polarization reuse. However,



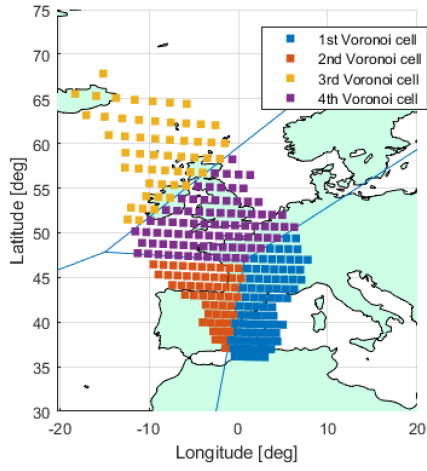


Fig. 34. Region of Interest (ROI) divided using Voronoi partitioning algorithm.

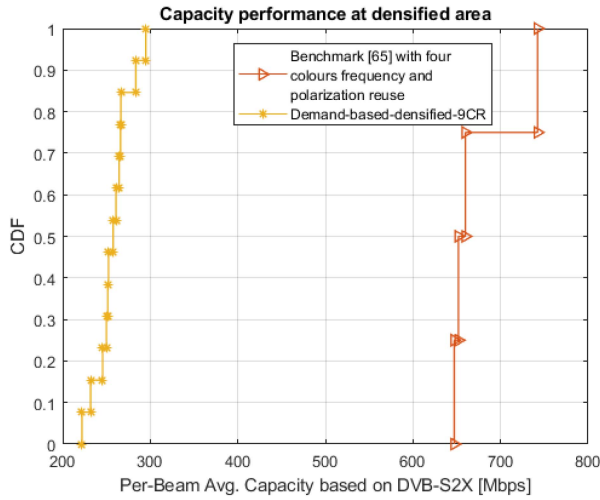


Fig. 35. Capacity performance comparison at the ROI.

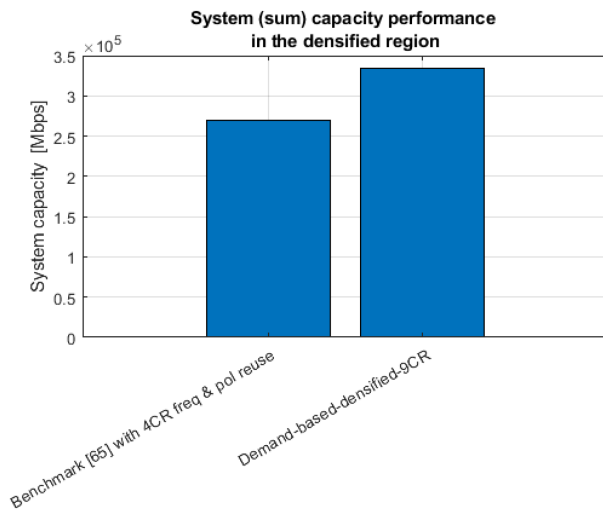


Fig. 36. Improved sum capacity at the ROI.

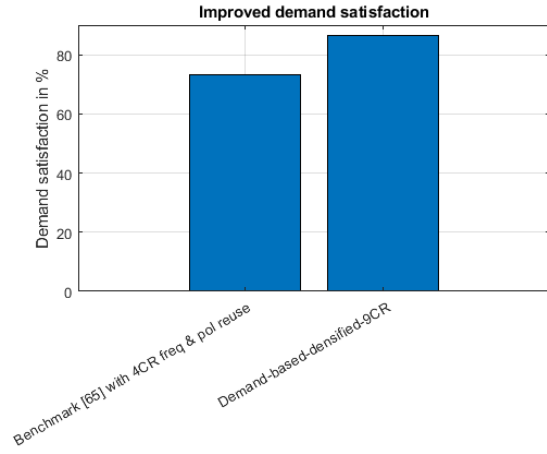


Fig. 37. Improved demand satisfaction at the ROI.

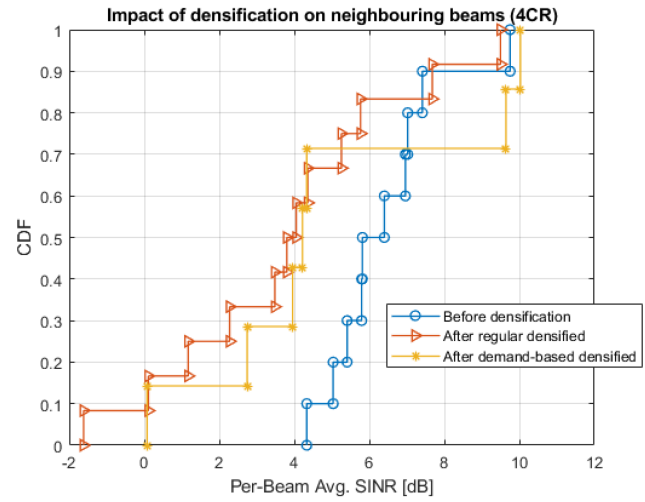


Fig. 38. CDF plots of per beam avg SINR on neighboring beams.

as shown in Fig. 36, our proposed scheme achieves a higher sum capacity due to densification.

Importantly, Fig. 37 reveals that our proposed scheme outperforms the benchmark in terms of demand satisfaction. Notably, the benchmark achieves better demand satisfaction than the fixed beam design of the non-densified-4CR case (discussed in the previous sections and has demand satisfaction of 59%) by more evenly distributing the total demand across all the beams. In conclusion, our proposed scheme leverages densification to achieve a higher sum capacity, while still providing excellent demand satisfaction.

#### F. Performance Evaluation Neighboring Beams of Densification

In this section, we assess the impact of densification on the neighboring beams of the densified region. Again, we consider unicast scheduling with  $K = 10$  users scheduled in  $K = 10$  neighboring beams, where each of the user position in the neighboring beams are randomly selected.

Figs. 38 and 39 show the impact of densification on the neighboring beams of the densified region. From CDF

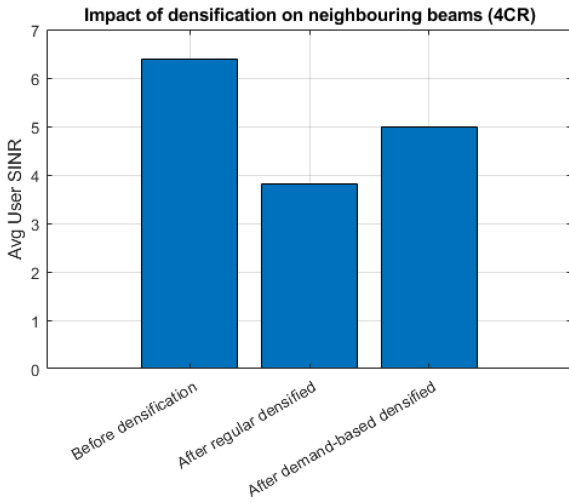


Fig. 39. Average user SINR on neighboring beams.

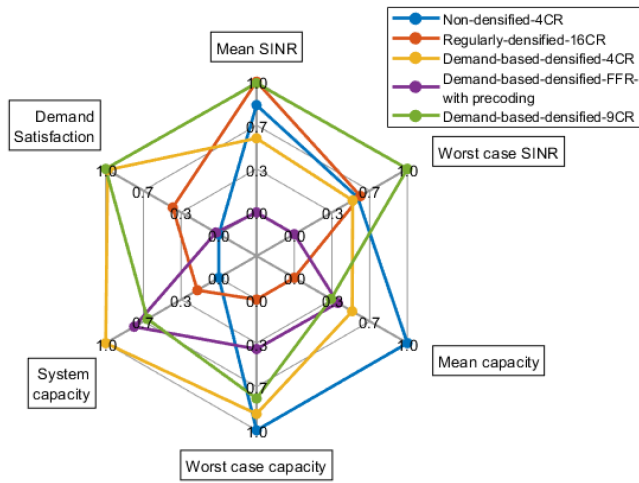


Fig. 40. Radar chart summarizing the results

plot of Fig. 38, we can infer that values of per beam average SINR of the neighboring beams reduce considerably due to regular densification. However, after the proposed demand-based densification, even though per beam average SINR of the neighboring beams is still lower than the non-densified case, it has relatively improved in comparison to regular densification. Furthermore from Fig. 39, the average user SINR of the neighboring beams drops after regular densification. However, it improves considerably when we consider the proposed demand-based densification. This is majorly because, regular densification increases the beam overlap on the neighboring beams. However, when we consider the demandbased densification, such overlap on the neighboring beams is considerably reduced.

Also, due to densification, even though the performance of the neighboring beams is slightly degraded with increased interference levels, performance loss is acceptable as the demand in the neighboring beams are relatively low. Such impact in the neighboring beams in fact reduces the gap between the demand and the offered capacity, which is eventually better resource management.

## VII. CONCLUSION

In this work, we first discuss the conventional multibeam GEO satellite system and its failure to provide demand satisfaction in high demand hot spot regions. Accordingly, we then propose a regular beam densification as the first step in achieving demand satisfaction. Furthermore, considering the uneven demand distribution in the high demand region, we propose a novel dynamic beam densification procedure, which leverages to choose ideal number of beams and their positions. Finally, using graph theory, we propose a dynamic frequency allocation strategy to reduce the increased interbeam interference upon beam densification.

Furthermore, the proposed solution is computationally expensive for an instantaneous adaptation. However, GEO satellite systems do not intend to adapt the beam planning in a matter of seconds or minutes. Beam densification is something that can be done eventually (e.g., twice a day) to match specific demand patterns that are easy to predict. In this sense, the requirements in terms of speed of computation are not that critical, as one can precalculate it well in advance. On the other hand, the impact in terms of signaling and user disconnection due to the beam planning change are minimized to very few events.

To summarize the result of this work, we use a 2-D radar chart in Fig. 40, displaying multivariate data in the form of a chart of six quantitative variables (normalized) such as mean SINR, worst case SINR, mean capacity, worst case capacity, system capacity, and demand satisfaction. Evidently, the nondensified 4CR frequency reuse scheme fails to provide demand satisfaction with the least demand satisfaction score. Regularly densified 16CR frequency reuse scheme, slightly increases the demand satisfaction with good SINR performance but provides poor capacity results due to scarcity of the bandwidth. Furthermore, demand-based densified 4CR frequency reuse provides good demand satisfaction and capacity performance but performs poor at the user SINR level. Notwithstanding, when same demand based scheme is considered with precoding, the user SINR levels are very poor. Furthermore, when the proposed demand based densified 9CR (dynamic frequency) is considered, it maximizes most of the metrics of the radar chart. Finally, when we studied the impact of densification on the neighboring beams, it was evident that after regular densification, the SINR performance of the neighboring beams is affected. However, when we employ the proposed demand based densification, such adverse effects are considerably reduced. Conclusively, the proposed demand-based densification with dynamic frequency reuse is the best choice regarding most of the quantitative variables.

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to any author accepted manuscript version arising from this submission. The views expressed in this article are those of the authors and do not reflect the views of European Space Agency or of SES S.A.

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